

NEW YORK STATE BRIDGE AUTHORITY

REQUEST FOR PROPOSALS

MAY 4, 2015

Contract:

BA2015-OA001-IN

Business Automobile

BA2015-OA002-IN

Commercial Package

BA2015-OA003-IN

Police Professional Liability

The New York State Bridge Authority is seeking proposals to provide insurance for each of the above referenced risks.

The individual Insurance Specifications, dated May 4, 2015, setting forth the requirements of each insurance policy sought by this Request for Proposals (RFP), include specimen policy terms, are a part of this RFP. The Insurance Specifications and policy specimens should be closely studied by all potential proposers before the submission of any proposals.

THE BRIDGE AUTHORITY

Information on the Authority, including its Annual Report, may be obtained from its website at www.NYSBA.net.

DESIGNATED CONTACT

State Finance Law restricts all offerors (proposers) from making contacts to other than designated Bridge Authority staff. For purposes of these contracts the designated Bridge Authority contact is Brian Bushek, Treasurer, New York State Bridge Authority, P.O. Box 1010, Highland, NY 12528. Telephone - (845) 691-7245, Facsimile - (845) 691-3560, E-mail - bbushek@nysba.ny.gov. **(Fax and email for inquiries only; the response to RFP may not be submitted via email.)**

No contact with any Authority personnel or Authority consultants other than the authorized contact person is allowed until such time as an award has been made. Violation of this provision may be grounds for immediate disqualification.

PROPOSERS/ASSIGNED COMPANIES

Proposers must be licensed to do business in the State of New York and have knowledge and expertise specific to the exposures and risks similar to those of the New York State Bridge Authority. Upon receipt of this RFP, for each contract, the proposer should list up to three insurance companies, and up to two alternate companies, from which they wish to solicit coverage. These should be listed in order of preference, by parent company only. The use of subsidiary insurance companies may result in the selection being disallowed. Proposers who currently provide the Authority with any of these insurances will be given first preference for the

incumbent insurance company. The Authority will determine which companies each proposer will have the sole right to access and broker of record letters will be provided, if requested. Proposers should indicate any special relationships, which could be helpful in obtaining coverage, they may have with companies. Following this process, any insurance companies not assigned may be approached by any proposer.

Proposers, who provide the Authority with a list of preferred insurance companies by May 25, 2015, will be advised by June 5, 2015 which companies have been assigned, and to which agency. There will be no further assignments after June 5, 2015. Proposers who do not submit such a list may only solicit unassigned companies.

PROPOSALS

The Authority is seeking one-year contracts of insurance and will retain the right to renew, at its option, any contract awarded for four additional one-year periods, if the vendor subsequently offers renewal in a timely manner at substantially the same terms, conditions and rates – subject to modification for actual loss experience.

Proposers may submit offers for any or all of the above-referenced contracts. Proposals for each contract will be evaluated separately and the contracts will be awarded individually. No offer, rate or pricing associated with any one contract may be contingent upon any other award. Any proposal contingent upon a package or lined to any other proposal may be deemed unresponsive.

The successful proposer for each contract will have met all the criteria set forth in this RFP and the appropriate Insurance Specifications. Proposals that offer less insurance than specified (including, but not limited to, what the Authority may determine are additional or materially different exclusions, reduced coverage, and more restrictive terms or conditions) may be deemed unresponsive. However, if no proposers are able to meet the criteria set forth in the RFP and Specifications, then the Authority may consider other proposals in its discretion.

Proposals that exceed the specifications and/or offer more insurance than required may be considered by the Authority, but credit for additional coverage may not be recognized in the course of evaluation. Features that enhance a policy beyond the specified insurance will have a negative impact on the evaluation of the proposal if they contribute to additional cost.

Proposals that offer insurance policies that fully meet the criteria set forth in the Specifications may offer alternatives, enhancements or improvements in coverage, as options. The Authority will consider such options in its discretion, as supplements to the awarded contract after the determination to award has been made.

Alternative billing schemes may be offered as options, but such options may not be considered in the course of evaluations. Risk sharing, pooling, and multi-year joint risk ventures will not be considered consistent with the Insurance Specifications and will be deemed unresponsive.

Unless addressed in the Insurance Specifications for a specific contract, proposals offering “claims made” policies, that limit coverage to those claims actually made during the coverage period, rather than to claims arising from incidents during the coverage period, will be deemed unresponsive.

The Authority will consider proposals both with and without terrorist coverage. The cost of this coverage will be weighed carefully with its benefit.

All information included in the responses to this RFP shall become property of the Authority. The Authority shall not, in any event, be liable for any pre-contractual expenses incurred by the Proposers in the preparation of their proposals. The Authority and its respective officials, agents, representatives and employees make no representation or warranty and assume no responsibility for the accuracy of the information set forth in this RFP. Further, the Authority does not warrant nor make any representations as to the quality, content, accuracy or completeness of the information, text, graphics, links or other facet of this RFP once it has been downloaded or printed from this or any server, and hereby disclaims any liability for technical errors or difficulties of any nature that may arise in connection with a website on which this RFP is posted, or in connection with any other electronic medium utilized by respondents or potential respondents in connection with or otherwise related to the RFP.

All proposals submitted to the Authority in response to this RFP may be disclosed in accordance with the standards specified in the Freedom of Information Law, Article 6 of the Public Officers Law of the State of New York ("FOIL"). A Proposer may provide in writing, at the time of its submission, a detailed description of the specific information contained in its submission, which it has determined is a trade secret and which, if disclosed, would cause substantial injury to such organization's competitive position, using **Form E**. This characterization shall not be determinative, and the Authority assumes no responsibility for any disclosure or use of data submitted.

The Authority reserves the right, for any or no reason and in its sole and absolute discretion, (1) to amend, in whole or part, withdraw or cancel this RFP, (2) waive irregularities in the proposals, (3) to meet with selected Proposers prior to the designation of a best qualified Proposer, (4) to accept or reject any proposals and any proposed exceptions, and (5) to accept or reject any or all proposals for any or no reason and with no penalty to the Authority.

INSURERS

Only proposals offering insurance policies issued by insurers listed and rated A-/X or better in the latest edition of Best's Key rating guide will be considered responsive in regard to any and all of the available contracts. Preference will be given to insurers admitted in New York to write policies of the type proposed.

EVALUATION OF PROPOSALS

Responsive proposals will be evaluated with consideration for the following factors:

1. Conformity with the request for Proposals, including the Insurance Specifications, and the specimen policy terms;
2. Cost; and,
3. Unsatisfactory past experience, if any, with the proposing parties.

By submission of its proposal, the Proposer authorizes the Authority to investigate the qualifications of the Proposer under consideration, including pending criminal or civil investigations, to require confirmation of information furnished by a Proposer, and to require additional evidence of qualifications to perform the work described in this RFP or information clarifying their submissions. The Authority reserves the right to reject any and all proposals submitted and/or to request additional information from all proposers.

Authority staff will promptly review each submission and evaluate all those deemed responsive. The Treasurer and Executive Director will recommend to the Authority Board the proposal they believe best meets the needs of the Authority on the basis of the criteria enumerated above. The Authority Board will make any and all final determinations and contract awards. The Authority Board reserves absolute discretion to accept or reject any and all proposals and recommendations, and to award or reject any contract, and to waive any irregularity in the proposals.

Information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development, which includes the directory of certified minority and women owned businesses. **It is the policy of the New York State Bridge Authority to encourage the use of New York State subcontractors and suppliers, and to promote the participation of minority and women owned businesses where possible, in the procurement of goods and services. See Appendix B.**

PROPOSAL CONTENT

Proposals responding to this RFP must be in writing, concise in format and composed in clear English grammar. The Authority reserves the right to reject any proposal that is, in its judgment, unclear about any terms, obscure, or confusing.

Each proposal must contain all the information necessary to enable the Authority to evaluate it without further inquiry.

The following items are required:

- A Summary Statement of the coverage offered explicitly reciting whether or not the proposed policy(s) conform to the Specifications and the details of any variation in form or content with the Specifications or the current policy;
- An acknowledgement that the Proposer has examined and accepts all the requirements under this RFP including appendices, forms, and attachments, that it is capable of performing the work to achieve the objectives of the Authority, and that it will accept these terms and conditions unless exceptions are specified in the Proposer's submission.
- The names and addresses of all parties included in the proposal and their roles in providing the insurance (broker, agent, insurer, etc);
- NAIC Number and A.M. Best rating of all insurance companies participating in the proposal;

- Cost of coverage, considering whether coverage is purchased jointly for multiple types of coverage listed in this RFP or by individual coverage.
- Any additional information the proposer believes the Authority should have to assist it in evaluating the proposal.
- Any brokerage fee to provide the required services associated with marketing and placement of insurance for the Authority. The stated lump sum amount must include all costs associated with providing the services, including direct and indirect costs, travel, fees, overhead and profit. The Proposer must disclose any commission or percentage for the placement of any insurance policy. A lump sum annual brokerage fee will be paid on August 1 coinciding with each new policy year for each year during the term of the contract.
- Offerer disclosure and certification Forms A-1, A-2, A-3, B, C, D, & E.

COST

For all contracts the cost will be evaluated based on a lump sum for all coverage identified in the Insurance Specifications including any brokerage fees.

In each case, pricing should be provided and calculated assuming a full lump sum payment upon receipt of invoice by the Authority within 30 days of the start of the coverage year.

STATE FINANCE LAW § 139 LOBBYING LAW; ETHICS AND CONFLICTS

Pursuant to New York State Finance Law §139-j and §139-k, this RFP includes and imposes certain restrictions on communications between the Authority and a Proposer during the procurement process. A Proposer is restricted from making contacts from the earliest date of notice of intent to solicit a “request for proposal” through final award and approval of the Contract by the Authority (“restricted period”) to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law §139-j(3)(a). Designated staff, as of the date hereof, is identified in Section 1 of this solicitation. Authority employees and Board Members are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the Respondent pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4-year period, the Respondent is debarred from obtaining governmental Procurement Contracts as defined in State Finance Law Section §139-j. Further information about these requirements can be found on the NYS Office of General Services website at www.ogs.state.ny.us. The Respondent is required to include **Forms A-1, A-2 and A-3** with its proposal.

During the term of any contract resulting from this RFP, the Proposer shall not engage any person who is or has been at any time in the employ of the Authority or New York State to perform services under the contract, without the consent of the Authority. Further, during the

term of any such contract, no person who is employed by the Proposer and who is disqualified from providing services under the Agreement pursuant to the New York State Public Officers Law or any other applicable laws, rules, regulations, guidelines or policies may share in any net revenues the Proposer derives from the Agreement. By submission of its proposal, the Proposer represents and certifies that it has not employed or retained any company or person, other than a bona fide employee working for the Proposer, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift or any other consideration, contingent on or resulting from the award or making of the contract. The Proposer is required to include **Form B** with its proposal.

QUESTIONS AND CLARIFICATIONS

Questions regarding this Request for Proposals may be submitted to Brian Bushek, Treasurer, via email to bbushek@nysba.ny.gov, for receipt not later than May 22, 2015. Written responses to questions submitted by such date will be distributed to the parties who have been in contact with the Authority regarding this proposer and will be made available on the Authority's website. Questions submitted after the due date for questions may not receive an official response. If a Proposer believes there is any ambiguity or error in this RFP, the Proposer should immediately notify the Authority contact person and request clarification or modification of the RFP. Any modifications or clarifications will be made in writing and will be distributed on the Authority's website.

SUBMISSIONS

Offerers/Proposers, who wish to be assigned exclusive rights to insurance companies, must provide a list of preferred companies for each insurance contract they intend to offer a proposal for. This list must be received by Brian Bushek, Treasurer of the New York State Bridge Authority, at the Bridge Authority's main office, at the Mid-Hudson Bridge Plaza, P.O. Box 1010, Highland, New York 12528, no later than 10:00 A.M., May 25, 2015.

Proposals must be submitted in hard copy form, in duplicate, signed by an authorized officer of the proposer and received by Brian Bushek, Treasurer of the New York State Bridge Authority, at the Bridge Authority's main office, at the Mid-Hudson Bridge Plaza, P.O. Box 1010, Highland, New York 12528, no later than 10:00 A.M., June 30, 2015.

Submission of a proposal constitutes an acknowledgement that the proposer has thoroughly examined and become familiar with the requirements under this RFP including appendices, forms, and attachments, that it is capable of performing the work to achieve the objectives of the Authority, and that it will accept these terms and conditions unless exceptions are specified in the proposer's submission. The cover letter submitted with the proposal must state whether any exceptions are taken to the terms and conditions, and reference the section of the proposal where such exceptions are detailed.

Per the requirements of State Finance Law § 139 it is mandatory that each offerer (proposer) submits, together with the proposal, the following completed forms:

- 1) **Offerer's Affirmation of Understanding of and Agreement pursuant to State Finance Law §139 Forms A-1, A-2, A-3**
- 2) FORM B Conflict of Interest Affidavit
- 3) FORM C Certificate of Non-Collusion
- 4) FORM D Vendor Information Form
- 5) FORM E FOIL Confidentiality Notice

APPENDICES

1. Appendix A: Standard Clauses for All New York State Bridge Authority Contracts
2. Appendix B: Participation By Minority Group Members and Women with Respect to New York State Bridge Authority Contracts: Requirements and Procedures

ATTACHMENTS

1. EXHIBIT 1: Insurance Specifications
2. EXHIBIT 2: 5-Year Loss Runs
3. EXHIBIT 3: Policy Specimens
4. EXHIBIT 4: 2014 Financial Statements. The 2014 Financial Statements are available online at www.nysba.net
5. FORM A-1 State Finance Law Section 139 Certification
6. FORM A-2 Offeror Certification of Compliance with State Finance Law §139-k(5)
7. FORM A-3 Offeror Disclosure of Prior Non-Responsibility Determinations
8. FORM B Conflict of Interest Affidavit
9. FORM C Certificate of Non-Collusion
10. FORM D Vendor Information Form
11. FORM E FOIL Confidentiality Notice

APPENDIX A

STANDARD CLAUSES FOR ALL NEW YORK STATE BRIDGE AUTHORITY CONTRACTS

The parties to the attached contract, license, lease, amendment or other agreement of any kind (hereinafter, "the contract" or "this contract") agree to be bound by the following clauses which are hereby made a part of the contract (the word "Contractor" herein refers to any party other than the Authority, whether a contractor, licensor, licensee, lessor, lessee or any other party):

1. NON-ASSIGNMENT

CLAUSE. This contract may not be assigned, and no part or portion may be subcontracted, by the Contractor nor may its right, title or interest therein be assigned, transferred, conveyed, sublet or otherwise disposed of without the previous consent, in writing, of the Authority and any attempts to assign the contract without the Authority's written consent are null and void.

2. WORKERS'

COMPENSATION BENEFITS. This contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the provisions of the Workers' Compensation Law. If employees will be working on, near or over navigable waters, a U.S. Longshore and Harbor Workers' Compensation Act endorsement must be included.

3. NON-DISCRIMINATION

REQUIREMENTS. To the extent required by Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, sexual orientation, military status, sex, disability, genetic predisposition or carrier status, marital status or domestic violence victim status. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction,

alteration or repair of any public building or public work, or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that this contract shall be performed within the State of New York, the Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. If this is a building service contract, as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. Contractor is subject to fines of \$50 per person per day for any violation of Section 220-e or Section 239 as well as possible termination of this contract and forfeiture of all moneys due hereunder for a second or subsequent violation.

4. EQUAL EMPLOYMENT OPPORTUNITIES FOR MINORITIES AND WOMEN. In accordance with 312 of the Executive Law, if this contract is: (a) a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000, whereby the Authority is committed to

expend, or does expend, funds in return for labor, services, supplies, equipment, materials, or any combination of the foregoing, to be performed for, or rendered or furnished to the Authority; or (b) a written agreement in excess of \$100,000 whereby the Authority is committed to expend, or does expend, funds for the acquisition, construction, demolition, replacement, major repair, or renovation of real property and improvements thereon, or (c) a written agreement in excess of \$100,000 whereby the owner of a State-assisted housing project is committed to expend, or does expend, funds for the acquisition, construction, demolition, replacement, major repair, or renovation of real property and improvements thereon for such project, then the following shall apply and by signing this agreement the Contractor certifies and affirms that it is Contractor's equal employment opportunity policy that:

(a.) The Contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability, or marital status, shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts, and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgradings, demotion, transfer, layoff, or termination, and rates of pay or other forms of compensation.

(b.) At the request of the Authority, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status, and that agency, union, or representative will affirmatively cooperate in the implementation of the contractor's obligations herein.

(c.) The Contractor shall state, in all solicitations or advertisements for employees, that in the performance of the State contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability, or marital status.

The Contractor shall include the provisions of (a), (b), and (c) above in every subcontract over \$25,000 for the construction, demolition, replacement, major repair, renovation, planning or design of real property and improvements thereon except where such work is for the beneficial use of the Contractor. Section 312 of the Executive Law does not apply to: (i) work, goods or services unrelated

to this Agreement; or (ii) employment outside New York State. The Authority shall consider compliance by the Contractor or subcontractor with the requirements of any federal law concerning equal employment opportunity which effectuates the purpose of this section. The Authority shall determine whether the imposition of the requirements of the provisions hereof duplicate or conflict with any such federal law and if such duplication or conflict exists, the Authority shall waive the applicability of Section 312 to the extent of such duplication or conflict. Contractor shall comply with all duly promulgated and lawful rules and regulations of the Department of Economic Development's Division of Minority and Women's Business Development pertaining hereto.

5. **WAGE AND HOURS**

PROVISIONS. If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the New York State Labor Department. Furthermore, Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the New York State Labor Department in accordance with the Labor Law. Additionally, if this is a public work contract covered by Article 8 of the Labor Law, the Contractor understands and agrees that the filing of payrolls in a manner consistent with subdivision 3-a of this Section 220 of the Labor Law shall be a condition precedent to payment by the Authority of any sums due and owing to any person for work done upon the project.

6. **NON-COLLUSIVE BIDDING REQUIREMENT.** In accordance with Public Authorities Law Section 2878, if this contract was awarded based upon the submission of bids, Contractor warrants, under penalty of perjury, that its bid was arrived at independently and

without collusion aimed at restricting competition. Contractor further warrants that, at the time Contractor submitted its bid, an authorized and responsible person executed and delivered to the Authority a non-collusive bidding certification on Contractor's behalf.

7. INTERNATIONAL BOYCOTT PROHIBITION. In accordance with Section 220-f of the Labor Law, if this contract exceeds \$5,000, the Contractor agrees, as a material condition of this contract, that neither the Contractor nor any substantially owned or affiliated person, firm, partnership, or corporation has participated, is participating, or shall participate in an international boycott in violation of the Federal Export Administration Act of 1979 (50 USC App. Sections 2401 et seq.) or regulations thereunder. If such Contractor, or any of the aforesaid affiliates of Contractor, is convicted or is otherwise found to have violated said laws or regulations upon the final determination of the United States Commerce Department or any other appropriate agency of the United States subsequent to the contract's execution, such contract amendment or modification thereto shall be rendered forfeit and void. The Contractor shall so notify the Authority within five (5) business days of such conviction, determination or disposition of appeal.

8. SET-OFF RIGHTS. The Authority shall have rights of set-off. These rights shall include, but not be limited to, the Authority's option to withhold for the purposes of set-off any moneys due to the Contractor under this contract up to any amounts due and owing by the contractor to the Authority with regard to this contract, or any other contract with the Authority, including any contract for a term commencing prior to the term of this contract. This also includes amounts due and owing the Authority for any other reason including, without limitation, monetary penalties, adjustments, fees, or claims for damages by the Authority and third parties in connection therewith.

9. RECORD-KEEPING REQUIREMENT. The Contractor shall

establish and maintain complete and accurate books, records, documents, accounts or other evidence directly pertinent to performance under this contract (the "Records") for a period of six (6) years following final payment or to the termination of this contract, whichever is later, and any extensions thereto. The Authority and Attorney General or any other person or entity authorized to conduct an examination, as well as the agency or agencies involved in this contract, shall have access to such Records during the contract term, extensions thereof and said six (6) year period thereafter for the purposes of inspection, auditing and copying. "Termination of the contract", as used in this clause 9, shall mean the later of completion of the work of the contract or the end date of the term stated in the contract. The Authority shall take reasonable steps to protect from public disclosure any of the Records which are exempt from disclosure under Section 87 of the Public Officers Law (the "Statute") provided that: (i) the Contractor shall timely inform the Authority's Executive Director with a copy to its Records Access Officer, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified; and (iii) designation of said records as exempt under the Statute is reasonable. Nothing contained herein shall diminish, or in any way adversely affect, the Authority's right to discovery in any pending or future litigation.

10. LIABILITY. Contractor shall be responsible for all damage to life and property due to negligent or otherwise tortious acts, errors or omissions of Contractor, in connection with their services under this contract. Further, it is expressly understood that Contractor shall indemnify and save harmless the Authority and/or the State of New York, as their interests may appear, from claims, suits, actions, damages, and costs of every name and description resulting from the negligent performance of the services of Contractor under this contract, and such indemnity shall not be limited by reasons of enumeration of any insurance coverage herein provided.

11. GOVERNING LAW. This contract shall be governed by the laws of the

State of New York except where the Federal Supremacy clause requires otherwise.

12. LATE PAYMENT.

Timeliness of payment and any interest to be paid to Contractor for late payment shall be governed by Public Authorities Law Section 2880 and 21 NYCRR Pt 207.

13. NO ARBITRATION.

Disputes involving this contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration (except where statutorily authorized) but must, instead, be heard in a court of competent jurisdiction of the State of New York.

14. SERVICE OF PROCESS.

In addition to the methods of service allowed by the State Civil Practice Law & Rules, Contractor hereby consents to service of process upon it by registered or certified mail, return receipt requested. Service hereunder shall be complete upon Contractor's actual receipt of process or upon the Authority's receipt of the return thereof by the United States Postal Service as refused or undeliverable. Contractor must promptly notify the Authority, in writing, of each and every change of address to which service of process can be made. Service by the Authority to the last known address shall be sufficient. Contractor will have thirty (30) calendar days after service hereunder is complete in which to respond.

15. OBSERVANCE OF LAWS.

The Contractor agrees to observe all Federal, State and local laws and regulations and to procure all necessary licenses and permits.

16. IDENTIFYING INFORMATION AND PRIVACY NOTIFICATION.

(a.) Federal Employer Identification Number and/or Federal Social Security Number:

All invoices or New York State standard vouchers submitted for payment for the sale of goods or services or the lease of real or personal property to a New York State agency must

include the payee's, i.e., the seller's or lessor's, identification number. The number is either the payee's Federal employer identification number or Federal social security number, or both when the payee has both such numbers. Failure to include the number or numbers may delay payment. Where the payee does not have such number or numbers, the payee must give, on his or her invoice or New York State standard voucher, the reason or reasons why the payee does not have such number or numbers.

(b.) Privacy Notification:

(1.) The authority to request the above personal information from a seller of goods or services, or a lessor of real or personal property, and the authority to maintain such information, is found in Section 5 of the State Tax Law. Disclosure of this information by the seller or lessor to the State is mandatory. The principal purpose for which the information is collected is to enable the State to identify individuals, businesses, and others who have been delinquent in filing tax returns or may have understated their tax liabilities, and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for tax administration purposes, and for any other purpose authorized by law.

(2.) The personal information is requested by the purchasing unit of the agency contracting to purchase the goods or services or lease the real or personal property covered by this contract or lease. This information is maintained in New York State's Central Accounting System by the Director of State Accounts, Office of the State Comptroller, AESOB, Albany, New York 12236.

17. PROHIBITION ON PURCHASE OF TROPICAL HARDWOODS.

The Contractor certifies and warrants that all wood products to be used under this contract award will be in accordance with, but not limited to, the specifications and provisions of New York State Finance Law §165. (Use of Tropical Hardwoods) which prohibits purchase and use of tropical

hardwoods, unless specifically exempted, by the State or any governmental agency or political subdivision or public benefit corporation. Qualification for an exemption under this law will be the responsibility of the Contractor to establish to meet with the approval of the State.

In addition, when any portion of this contract involving the use of woods, whether supply or installation, is to be performed by any subcontractor, the prime Contractor will indicate and certify in the submitted bid proposal that the subcontractor has been informed and is in compliance with specifications and provisions regarding use of tropical hardwoods as detailed in Section 165 of the New York State Finance Law. Any such use must meet with the approval of the State; otherwise, the bid may not be considered responsive. Under bidder certifications, proof of qualification for exemption will be the responsibility of the Contractor to meet with the approval of the State.

18. OMNIBUS PROCUREMENT ACT OF 1992. It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority and women-owned business enterprises as bidders, subcontractors and suppliers on its procurement contracts.

Information of the availability of New York State subcontractors and suppliers is available from:

NYS Department of Economic
Development
Division for Small Business
30 South Street B 7th Floor
Albany, New York 12245
Telephone: 518-292-5220
Fax: 518-292-5884
<http://www.empire.state.ny.us>

A directory of certified minority and women-owned business enterprises is available from:

NYS Department of Economic

Development
Division of Minority and
Women's Business
Development
30 South Pearl Street, 2nd Floor
Albany, New York 12245
Telephone: 518-292-5250
Fax: 518-292-5803
<http://www.empire.state.ny.us>

The Omnibus Procurement Act of 1992 requires that by signing this bid proposal or contract, as applicable, Contractors certify that whenever the total bid amount is greater than \$1 million:

(a) The Contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors, including certified minority and women-owned business enterprises, on this project, and has retained the documentation of these efforts to be provided upon request to the Authority;

(b) The Contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

(c) The Contractor agrees to make reasonable efforts to provide notification to New York State residents of employment opportunities on this project through listing any such positions with the Job Service Division of New York State Department of Labor, or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The Contractor agrees to document these efforts and to provide such documentation to the Authority upon request; and

- (d) The Contractor acknowledges notice that the Authority may seek to obtain offset credits from foreign countries as a result of this contract and agrees to cooperate with the Authority in these efforts.

19. RECIPROCITY AND SANCTIONS PROVISIONS. Bidders are hereby notified that if their principal place of business is located in a country, nation, province, state or political subdivision that penalizes New York State vendors, and if the goods or services they offer will be substantially produced or performed outside New York State, the Omnibus Procurement Act 1994 and 2000 amendments (Chapter 684 and Chapter 383, respectively) require that they be denied contracts which they would otherwise obtain. NOTE: As of May 15, 2002, the list of discriminatory jurisdictions subject to this provision includes the state of South Carolina, Alaska, West Virginia, Wyoming, Louisiana and Hawaii. Contact NYS Department of Economic Development for a current list of jurisdictions subject to this provision.

20. STATE FINANCE LAW SECTION 139. The Contractor hereby certifies that all information provided to the Authority with respect to State Finance Law Section 139 is complete, true and accurate. The Authority reserves the right to terminate this Contract in the event it is found that the certification filed by the Contractor in accordance with New York State Finance Law Section 139-k, was intentionally false or intentionally incomplete. Upon such finding, the Authority may exercise its termination right by providing written notification to the Contractor in accordance with the written notification terms of the Contract.

21. ETHICS. During the term of this Agreement, the Contractor shall not engage any person who is or has been at any time in the employ of the Authority or New York State to perform services under the Agreement, without the consent of the Authority. The Authority may request that the Contractor provide it with whatever information the Authority deems

appropriate about such person's engagement, work cooperatively with the Authority to solicit advice from the New York State Commission on Public Integrity or other body having jurisdiction, and if deemed appropriate by the Authority, instruct such person to seek the opinion of the New York State Commission on Public Integrity. The Contractor agrees that any such employee assigned to perform services under this Agreement shall be assigned in accordance with the provisions of the New York State Public Officers Law and any other laws, rules, regulations, guidelines or policies applicable to the service of current or former Authority or New York State employees. Further, during the term of the Agreement, no person who is employed by the Contractor and who is disqualified from providing services under the Agreement pursuant to the New York State Public Officers Law or any other applicable laws, rules, regulations, guidelines or policies may share in any net revenues the Contractor derives from the Agreement. The Authority shall have the right to cancel or terminate this Agreement at any time if any work performed under the Agreement is in conflict with the provisions of the New York State Public Officers Law, other laws applicable to the service of current or former Authority or New York State employees, and/or the rules, regulations, guidelines or policies promulgated or issued by the New York State Commission on Public Integrity.

22. OSHA 10 HOUR CONSTRUCTION SAFETY AND HEALTH COURSE. If this is a public work contract covered by Article 8 of the New York State Labor Law, it shall be required that on all public work projects of at least \$250,000.00, all laborers, workers and mechanics working on the site be certified as having successfully completed A MINIMUM OF 10 HOURS OF CONSTRUCTION AND HEALTH SAFETY TRAINING, as approved by the United States Department of Labor's Occupational Safety and Health Administration (OSHA). The Contractor, sub-contractor or other person doing or contracting to do the whole or part of the work contemplated by the contract, shall provide proof of certification for successfully completing

the course for each employee prior to performing any work on the project.

23. COMPTROLLER'S

APPROVAL. Unless otherwise provided by resolution of the Authority, to the extent required by Section 2879-a of the Public Authorities Law, if this contract exceeds \$1,000,000, or if this is an amendment for any amount to a contract which, as so amended, exceeds said statutory amount, or if, by this contract, the Authority agrees to give something other than money when the value or reasonably estimated value of such consideration exceeds \$1,000,000, it shall not be valid, effective or binding upon the Authority until it has been approved by the State Comptroller and filed in his office.

24. CONFLICTING TERMS.

In the event of a conflict between the terms of the contract (including any and all attachments thereto and amendments thereof) and the terms of this Exhibit, the terms of this Exhibit shall control, except that to the extent required for the purpose of obtaining Federal Aid in connection with this contract, any contract provisions required for Federal Aid projects shall supersede any conflicting provisions.

25. CERTIFICATION OF REGISTRATION TO COLLECT SALES AND COMPENSATING USE TAX BY CERTAIN STATE CONTRACTORS, AFFILIATES AND SUBCONTRACTORS.

To the extent this agreement is a contract as defined by Tax Law Section 5-a, if the Contractor fails to make the certification required by Tax Law Section 5-a or if during the term of the contract, the Department of Taxation and Finance or the covered agency, as defined by Tax Law 5-a, discovers that the certification, made under penalty of perjury, is false, then such failure to file or false certification shall be a material breach of this contract and this contract may be terminated, by providing written notification to the Contractor in accordance with the terms of the agreement, if the Authority determines that such action is in the best interest of the State.

26. COMPLIANCE WITH NEW YORK STATE INFORMATION SECURITY BREACH AND NOTIFICATION ACT. Contractor shall comply with the provisions of the New York State Information Security Breach and Notification Act (General Business Law, Section 899-aa; State Technology Law Section 208).

27. NO WAIVER OF PROVISIONS. The Authority's failure to exercise or delay in exercising any right or remedy under this contract shall not constitute a waiver of such right or remedy or any other right or remedy set forth therein. No waiver by the Authority of any right or remedy under this contract shall be effective unless made in a writing duly executed by an authorized officer of the Authority, and such waiver shall be limited to the specific instance so written and shall not constitute a waiver of such right or remedy in the future or of any other right or remedy under this contract.

28. ENTIRE AGREEMENT. This contract, together with this Exhibit, constitutes the entire understanding between the parties and there are no other oral or extrinsic understandings of any kind between the parties. This contract may not be changed or modified in any manner except by a subsequent writing, duly executed by the parties hereto.

29. PAYMENT REPORTING. In any contract subject to the provisions of Article 15-A of the New York State Executive Law which exceeds the sum of \$25,000 for commodities or personal services, and \$100,000 for construction or professional services, the Contractor shall, immediately upon execution of this contract, and any Subcontractor shall, immediately upon engagement by the Contractor, establish access to the New York State Contract System available at <https://ny.newnycontracts.com/?TN=ny>, and throughout the term of the contract, and any extensions thereof, in compliance with the NYS Contract Compliance Module,

acknowledge, through the New York State Contract System, receipt of all payments for services provided under the contract, and confirm when payments are made to any subcontractors for services rendered.

If this contract receives or shall receive Federal financial assistance as governed by 49 CFR Part 26, Contractor, and any of its Subcontractors, shall complete and file the Uniform Report of DBE Awards or Commitments, and shall observe all compliance requirements for Federally Assisted Contracting as set forth in 49 CFR Part 26. Evidence of filing shall be available for inspection by the Authority.

Appendix B

PARTICIPATION BY MINORITY GROUP MEMBERS AND WOMEN WITH RESPECT TO NEW YORK STATE BRIDGE AUTHORITY CONTRACTS: REQUIREMENTS AND PROCEDURES

I. General Provisions

- A. The New York State Bridge Authority (“NYSBA”) is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 142-144 (“MWBE Regulations”) for all NYS Bridge Authority contracts as defined therein, with a value (1) in excess of \$25,000 for labor, services, including legal, financial and other professional services, supplies, equipment, materials, or any combination of the foregoing, or (2) in excess of \$100,000 for the acquisition, construction, demolition, replacement, major repair, or renovation of real property and improvements thereon. Where NYSBA enters into a contract with a total expenditure in excess of two hundred and fifty thousand dollars (\$250,000) contractors shall submit company workforce diversity data to NYSBA prior to execution of the subject contract.
- B. The Contractor to the subject contract (the “Contractor” and the “Contract,” respectively) agrees, in addition to any other nondiscrimination provision of the Contract and at no additional cost to the NYSBA, to fully comply and cooperate with the NYSBA in the implementation of New York State Executive Law Article 15-A. These requirements include equal employment opportunities for minority group members and women (“EEO”) and contracting opportunities for certified minority and women-owned business enterprises (“MWBEs”). Contractor’s demonstration of “good faith efforts” pursuant to 5 NYCRR §142.8 and Executive Law § 313(7) shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the “Human Rights Law”) or other applicable federal, state or local laws.
- C. Failure to comply with all of the requirements herein may result in a finding of non-responsiveness, non-responsibility and/or a breach of contract, leading to the withholding of funds or such other actions, liquidated damages pursuant to Section VII of this Appendix or enforcement proceedings as allowed by the Contract.

II. Contract Goals

- A. The NYSBA has established an overall goal of 20% for MWBE participation, 8% for Minority-Owned Business Enterprises (“MBE”) participation and 12% for Women-Owned Business Enterprises (“WBE”) participation. Pursuant to Executive Law § 313(4) the NYSBA may, however, evaluate each contract to determine the appropriateness of the overall goal, which may be reduced if it is determined to be unattainable due to the lack of certified MWBE’s available in the major bridge repair and maintenance business (based on the current availability of qualified MBEs and WBEs).

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- B. For purposes of providing meaningful participation by MWBEs on the Contract and achieving the Contract Goals established in Section II-A hereof, Contractor should reference the directory of New York State Certified MBWEs found at the following internet address: <http://www.esd.ny.gov/mwbe.html>.

Additionally, Contractor is encouraged to contact the Division of Minority and Woman Business Development ((518) 292-5250; (212) 803-2414; or (716) 846-8200)) to discuss additional methods of maximizing participation by MWBEs on the Contract.

- C. Where MWBE goals have been established herein, pursuant to 5 NYCRR § 142.8, Contractor must document “good faith efforts” to provide meaningful participation by MWBEs as subcontractors or suppliers in the performance of the Contract. In accordance with Section 316-a of Article 15-A and 5 NYCRR § 142.13, the Contractor acknowledges that if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth in the Contract, such a finding constitutes a breach of contract and the Contractor shall be liable to the NYSBA for liquidated or other appropriate damages, as set forth in the Contract.

III. Equal Employment Opportunity (EEO)

- A. Contractor agrees to be bound by the provisions of Article 15-A and the MWBE Regulations promulgated by the Division of Minority and Women's Business Development of the Department of Economic Development (the “Division”). If any of these terms or provisions conflict with applicable law or regulations, the contracting agency shall waive the applicability of these requirements to the extent of such conflict.
- B. Contractor shall comply with the following provisions of Article 15-A:
1. Contractor and Subcontractors shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation.
 2. The Contractor shall submit an EEO policy statement to the NYSBA within seventy two (72) hours after the date of the notice by the NYSBA to award the Contract to the Contractor.
 3. The Contractor’s EEO policy statement shall include the following language:
 - (a) The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, and will undertake or continue existing EEO

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programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination.

- (b) The Contractor shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force.
- (c) The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (d) The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (e) The Contractor will include the provisions of Subdivisions (a) through (c) of this Subsection 3 and Paragraph "E" of this Section III, which provides for relevant provisions of the Human Rights Law, in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each subcontractor as to work in connection with the Contract.

C. NYSBA EEO – Form 100 – Staffing Plan

Prior to the award of this Contract, the Contractor shall submit a staffing plan to document the composition of the proposed workforce to be utilized in the performance of the Contract by the specified categories listed, including ethnic background, gender, and Federal occupational categories. Contractors shall complete the Staffing plan form and submit it within a reasonable time, but no later than the time of award of the contract.

D. NYSBA EEO – Form 200 - Workforce Employment Monthly Utilization Report

1. Once a contract has been awarded and during the term of the Contract, Contractor is responsible for updating and providing notice to the NYSBA of any changes to the previously submitted Staffing Plan. This information is to be submitted on a monthly basis during the term of the contract to report the actual workforce utilized in the performance of the contract by the specified categories listed including ethnic background, gender, and Federal occupational categories. The Workforce Report must be submitted to report this information.

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2. Separate forms shall be completed by Contractor and any subcontractor performing work on the Contract.
 3. In limited instances, Contractor may not be able to separate out the workforce utilized in the performance of the Contract from Contractor's and/or subcontractor's total workforce. When a separation can be made, Contractor shall submit the Workforce Report and indicate that the information provided relates to the actual workforce utilized on the Contract. When the workforce to be utilized on the contract cannot be separated out from Contractor's and/or subcontractor's total workforce, Contractor shall submit the Workforce Report and indicate that the information provided is Contractor's total workforce during the subject time frame, not limited to work specifically under the contract.
- E. Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of an individual's age, race, creed (religion), color, sex, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

IV. NYSBA MWBE – Form 100 - Utilization Plan

- A. As required by Executive Law § 313(5), the Contractor represents and warrants that Contractor has submitted an MWBE Utilization Plan prior to the execution of the contract. Additionally, prior to award, the Contractor must submit its MWBE Utilization Plan online through the Statewide Utilization Management Plan (SUMP) module of the New York State Contracting System (NYSCS) website at <https://ny.newnycontracts.com/>.
- B. Contractor agrees to use such MWBE Utilization Plan for the performance of MWBEs on the Contract pursuant to the prescribed MWBE goals set forth in Section II-A of this Appendix. The Contract shall attempt, in good faith, to utilize the enterprises identified within the Utilization Plan at least to the extent indicated.
- C. Contractor further agrees that a failure to submit and/or use such MWBE Utilization Plan shall constitute a material breach of the terms of the Contract. Upon the occurrence of such a material breach, the NYSBA shall be entitled to any remedy provided in the Contract, including but not limited to, a finding of Contractor non-responsiveness.
- D. The Contractor's good faith efforts shall be determined pursuant to Executive Law § 313(7).

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V. Waivers pursuant to Executive Law § 313(6) - Request for Waiver - MWBE- Form 200

- A. For Waiver Requests Contractor should use MWBE - Form 200 - Waiver Request.
- B. If the Contractor, after making good faith efforts, is unable to comply with MWBE goals, the Contractor may submit a Request for Waiver form documenting good faith efforts by the Contractor to meet such goals and setting forth the reasons for such Contractor's inability to meet any or all of the participation requirements. If the documentation included with the waiver request is complete, the NYSBA shall evaluate the request and issue a written notice of acceptance or denial within twenty (20) days of receipt.
- C. The NYSBA shall review the waiver application in accordance with the criteria set forth in Executive Law § 313(6) and (7).
- D. If, upon review of the MWBE Utilization Plan and updated Quarterly MWBE Contractor Compliance Reports, the NYSBA determines that Contractor is failing or refusing to comply with the Contract goals and no waiver has been issued in regards to such non-compliance, the NYSBA may issue a notice of deficiency to the Contractor. The Contractor must respond to the notice of deficiency within seven (7) business days of receipt. Such response may include a request for partial or total waiver of MWBE Contract Goals.

VI. Monthly MWBE Participation Performance Report, MWBE - Form 300

Contractor is required to submit a Monthly MWBE Contractor Compliance Report (Form MWBE-300) to the NYSBA by the 10th day following the last day of each month over the term of the Contract documenting the progress made towards achievement of the MWBE goals of the Contract.

VII. Liquidated Damages - MWBE Participation

- A. In accordance with Executive Law § 316-a and 5 NYCRR § 142.13, where the NYSBA determines that Contractor is not in compliance with the requirements of the Contract and Contractor refuses to comply with such requirements, or if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals, Contractor shall be obligated to pay to the NYSBA liquidated damages.
- B. In the event a determination has been made which requires the payment of liquidated damages and such identified sums have not been withheld by the NYSBA, Contractor shall pay such liquidated damages to the NYSBA within sixty (60) days after they are assessed by the NYSBA unless prior to the expiration of such sixtieth day, the Contractor has filed a complaint with the Director of the Division of Minority and Woman Business Development pursuant to Subdivision 8 of Section 313 of the Executive Law in which event the liquidated damages shall be payable if Director renders a decision in favor of the NYSBA.

NEW YORK STATE BRIDGE AUTHORITY

MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES – EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT

M/WBE AND EEO POLICY STATEMENT

I, _____, the (awardee/contractor) _____
agree to adopt the following policies with respect to the project being developed or services rendered at

M/WBE This organization will and will cause its contractors and subcontractors to take good faith actions to achieve the M/WBE contract participations goals set by the Authority for that area in which the Authority-funded project is located, by taking the following steps:

- (1) Actively and affirmatively solicit bids for contracts and subcontracts from qualified State certified MBEs or WBEs, including solicitations to M/WBE contractor associations.
- (2) Request a list of State-certified M/WBEs from AGENCY and solicit bids from them directly.
- (3) Ensure that plans, specifications, request for proposals and other documents used to secure bids will be made available in sufficient time for review by prospective M/WBEs.
- (4) Where feasible, divide the work into smaller portions to enhanced participations by M/WBEs and encourage the formation of joint venture and other partnerships among M/WBE contractors to enhance their participation.
- (5) Document and maintain records of bid solicitation, including those to M/WBEs and the results thereof. Contractor will also maintain records of actions that its subcontractors have taken toward meeting M/WBE contract participation goals.
- (6) Ensure that progress payments to M/WBEs are made on a timely basis so that undue financial hardship is avoided, and that bonding and other credit requirements are waived or appropriate alternatives developed to encourage M/WBE participation.

EEO (a) This organization will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, will undertake or continue existing programs of affirmative action to ensure that minority group members are afforded equal employment opportunities without discrimination, and shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on state contracts.

(b) This organization shall state in all solicitation or advertisements for employees that in the performance of the State contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

(c) At the request of the contracting agency, this organization shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of this organization's obligations herein.

(d) Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of an individual's age, race, creed (religion), color, national origin, sexual orientation, military status, sex, disability,

NEW YORK STATE BRIDGE AUTHORITY

predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest. (e) This organization will include the provisions of sections (a) through (d) of this agreement in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each subcontractor as to work in connection with the State contract

Agreed to this _____ day of _____, 2_____

By _____

Print: _____ Title: _____

MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES – EQUAL EMPLOYMENT OPPORTUNITY POLICY STATEMENT, CONT.

_____ is designated as the Minority Business Enterprise Liaison
(Name of Designated Liaison)

responsible for administering the Minority and Women-Owned Business Enterprises - Equal Employment Opportunity (M/WBE-EEO) program.

M/WBE Contract Goals

Subject to Executive Law § 313(4), for purposes of this procurement, the NYSBA hereby establishes the following goals:

_____ % Minority Business Enterprise Participation

_____ % Women’s Business Enterprise Participation

_____ % Total Minority and Women’s Business Enterprise Participation

(Authorized Representative)

Title: _____

Date: _____

**NEW YORK STATE BRIDGE AUTHORITY
MID-HUDSON BRIDGE PLAZA
HIGHLAND, NEW YORK 12528
May 4, 2015
INSURANCE SPECIFICATIONS**

Contract Number: BA2015-OA-001IN
Contract Title: Business Automobile Policy

The facilities that comprise the New York State Bridge Authority and their locations are as follows:

- A) **Rip Van Winkle Bridge**
Catskill, NY 12414
(Greene County)
- B) **Kingston-Rhinecliff Bridge**
Kingston, NY 12401
(Ulster County)
- C) **Mid-Hudson Bridge (including Headquarters Buildings)**
Highland, NY 12528
(Ulster County)
- D) **Newburgh-Beacon Bridge**
Beacon, NY 12508
(Dutchess County)
- E) **Bear Mountain Bridge**
Stony Point, NY 10980
(Rockland County)
- F) **Walkway Over The Hudson**
Highland, NY 12528
(Ulster County)

Coverage:

Liability – bodily injury and property damage in the combined single limit of \$1,000,000 for each accident.

Uninsured and Underinsured Motorists – Bodily injury in the amount of \$1,000,000 for each accident.

Business Automobile Policy

Vehicles Covered: There are 78 covered vehicles. See current policy and policy change documents reflecting additions and deletions. The attached "New York State Bridge Authority Fleet" schedule is a complete list of all current vehicles and all proposals must reflect coverage based on this schedule.

Current Policy Period: August 1, 2014 – August 1, 2015

Please refer to copy of expiring policy for further detail.

Submission of Proposals:

Proposals must be provided in duplicate signed by an authorized officer of the proposer and received by Brian Bushek, Treasurer, at the New York State Bridge Authority's Headquarters Office, Mid-Hudson Bridge Plaza, Highland, New York 12528, (mailing address: P.O. Box 1010, Highland, New York 12528) no later than 10:00 A.M., June 30, 2015.

Any inquiries concerning this contract must only be directed to Brian Bushek at the above address or at (845) 691-7245, fax (845) 691-3560 or via e-mail at bbushek@nysba.ny.gov.

NEW YORK STATE BRIDGE AUTHORITY FLEET
 APRIL 10, 2015

COUNT	VEHICLE NO.	YEAR	MAKE	MODEL	VEHICLE IDENTIFICATION	COLOR	FACILITY	WEIGHT
1	159	1991	GMC	SKYWORKER	1GDL7H1J2MJ509615	YELLOW	NBB	28,080
2	173	1993	FORD	L8000 DUMP TRUCK	1FDYK82E3PVA14116	YELLOW	MHB	35,000
3	181	1994	CHEVROLET	SKYWORKER	1GBL7H1J6RJ104812	YELLOW	MHB	28,380
4	185	1994	CHEVROLET	SKYWORKER	1GBL7H1J4RJ103271	YELLOW	KRB	28,080
5	188	1995	GMC	12 PASSENGER VAN	1GJFG35K4SF543832	BLUE	RVW	5,238
6	192	1996	INTERNATIONAL	DUMP TRUCK	1HTSCAAR8TH273960	YELLOW	NBB	35,000
7	197	1997	INTERNATIONAL	DUMP TRUCK	1HTSCAAR3VH439949	YELLOW	NBB	35,000
8	203	1998	INTERNATIONAL	DUMP TRUCK	1HTSCAAR7WH509471	YELLOW	MHB	35,000
9	216	1999	INTERNATIONAL	DUMP TRUCK	1HTSCAAR9XH669921	YELLOW	MHB	35,000
10	217	1999	INTERNATIONAL	DUMP TRUCK	1HTSCAAROXH669922	YELLOW	KRB	35,000
11	218	1999	INTERNATIONAL	DUMP TRUCK	1HTSCAAR2XH669923	YELLOW	RVW	35,000
12	219	1999	INTERNATIONAL	DUMP TRUCK	1HTSCAAR4XH669924	YELLOW	NBB	35,000
13	234	2000	INTERNATIONAL	DUMP TRUCK	1HTSCAAR0YH258909	YELLOW	KRB	35,000
14	235	2000	INTERNATIONAL	DUMP TRUCK	1HTSCAAR9YH258908	YELLOW	NBB	35,000
15	241	2000	CHEVROLET	LIGHT DUMP TRUCK	1GBJK34R9YF500468	YELLOW	KRB	12,000
16	244	2001	INTERNATIONAL	DUMP TRUCK	1HTSCAARX1H343570	YELLOW	MHB	35,000
17	245	2001	INTERNATIONAL	DUMP TRUCK	1HTSCAAR11H343571	YELLOW	NBB	35,000
18	246	2000	CHEVROLET	LIGHT DUMP TRUCK	1GBJK34R1YF500626	YELLOW	MHB	12,000
19	256	2001	DODGE RAM	8-PASSENGER VAN	2B4HB15X41K532079	YELLOW	KRB	4,616
20	257	2001	DODGE RAM	8-PASSENGER VAN	2B4HB15X01K532080	YELLOW	MHB	4,616
21	260	2002	INTERNATIONAL	4700 DUMP TRUCK	1HTSCAAR82H516990	YELLOW	BMB	35,000
22	261	2002	INTERNATIONAL	4700 DUMP TRUCK	1HTSCAAR12H516989	YELLOW	KRB	35,000
23	263	2002	CHEVROLET	PICK UP TRUCK	1GCHK24U02Z252626	YELLOW	KRB	9,200
24	268	2002	CHEVROLET	PICK UP TRUCK	1GCHK24UX22Z252679	YELLOW	KRB	9,200
25	274	2002	CHEVROLET	LIGHT DUMP TRUCK	1GBJK34U92E219787	YELLOW	KRB	12,000
26	281	2002	CHEVROLET	ASTRO CARGO VAN	1GCGL19X52B151454	MAROON	HDQ	4,045
27	282	2003	INTERNATIONAL	7300 DUMP TRUCK	1HTWAAAR23J069350	YELLOW	RVW	10,585
28	283	2003	INTERNATIONAL	7300 DUMP TRUCK	1HTWAAAR63J069349	YELLOW	NBB	10,585
29	284	2003	FORD	F450 LIFT TRUCK	1FDXF46S23EA49250	YELLOW	HDQ	6,002
30	288	2003	CHEVROLET	PICKUP TRUCK-CREW CAB	1GCHK23U53F214586	YELLOW	MHB	5,837
31	291	2003	CHEVROLET	LIGHT DUMP TRUCK	1GBJK34UX3E354441	YELLOW	NBB	12,000
32	292	2004	INTERNATIONAL	7300	1HTWAAAR54J079761	YELLOW	NBB	36,220
33	294	2005	CHEVROLET	PICKUP TRUCK	1GCHK24U45E233136	YELLOW	NBB	9,200
34	300	2006	INTERNATIONAL	4300	1HTMMAARX6H287529	YELLOW	RVW	36,220
35	302	2006	CHEVROLET	PICKUP TRUCK	1GCHK24UX6E233191	YELLOW	RVW	5,366
36	303	2006	CHEVROLET	PICKUP TRUCK	1GCHK24U46E231727	YELLOW	RVW	5,366
37	304	2006	CHEVROLET	PICKUP TRUCK	1GCHK24U06E231501	YELLOW	NBB	5,366
38	306	2006	CHEVROLET	CARGO VAN	1GCFH15T861235339	YELLOW	HDQ	7,200
39	307	2007	INTERNATIONAL	7400	1HTWDAZR17J462029	YELLOW	NBB	36,220
40	308	2008	FORD	F250 PICKUP TRUCK	1FTNF21558EC11512	YELLOW	NBB	9,000
41	309	2008	FORD	F250 PICKUP TRUCK	1FTNF21578EC11513	YELLOW	MHB	9,000
42	310	2008	FORD	F250 PICKUP TRUCK	1FTNF21598EC11514	YELLOW	KRB	9,000

NEW YORK STATE BRIDGE AUTHORITY FLEET

APRIL 10, 2015

43	311	2008	FORD	F250 PICKUP TRUCK	1FTNF21508EC11515	YELLOW	MHB	9,000
44	313	2008	FORD	F250 PICKUP-CREW/CAB	1FTSW21558EC56646	YELLOW	RWW	9,400
45	314	2008	CHEVROLET	IMPALA	2G1WB58K481212707	BLACK	CC	3,477
46	315	2008	FORD	F250 PICKUP TRUCK	1FTNF21528ED78894	YELLOW	RWW	9,000
47	316	2008	FORD	F250 PICKUP TRUCK	1FTNF21568ED78896	YELLOW	RWW	9,000
48	317	2008	FORD	F250 PICKUP TRUCK	1FTNF21588ED78897	YELLOW	NBB	9,000
49	318	2008	FORD	F250 PICKUP TRUCK	1FTNF21548ED78895	YELLOW	BMB	9,000
50	319	2008	FORD	F450 LIGHT DUMP TRUCK	1FDXF47Y08EE16527	YELLOW	RWW	16,000
51	320	2008	FORD	F450 LIGHT DUMP TRUCK	1FDXF47Y78EE16525	YELLOW	MHB	16,000
52	321	2008	FORD	F450 LIGHT DUMP TRUCK	1FDXF47Y98EE16526	YELLOW	BMB	16,000
53	322	2009	INTERNATIONAL	5600I	1HTXHAHT89J058606	YELLOW	HDQ	66,000
54	323	2009	FORD	ESCAPE HYBRID	1FMCU59359KA61286	BLACK	HDQ	3,691
55	324	2009	FORD	ESCAPE HYBRID	1FMCU59379KA61287	BLACK	HDQ	3,691
56	325	2009	FORD	ESCAPE HYBRID	1FMCU59399KA61288	BLACK	HDQ	3,691
57	326	2008	FORD	F550 AERIAL LIFT	1FDADF56R78EE09998	YELLOW	BMB	19,500
58	330	2010	DODGE	CARAVAN	2D4RN4DE8AR487741	GRAY	HDQ	4,224
59	331	2011	CHEVROLET	IMPALA	2G1WF5EK8B1167240	BLACK	HDQ	3,483
60	333	2011	FORD	ESCAPE	1FMCU9DG0BKA87804	RED	HDQ	3,455
61	334	2011	FORD	F250 PICKUP TRUCK	1FTBF2B63BEB82299	YELLOW	RWW	10,000
62	335	2011	FORD	F250 PICKUP TRUCK	1FTBF2B6XBEB82302	YELLOW	MHB	10,000
63	336	2011	FORD	F250 PICKUP TRUCK	1FTBF2B68BEB82301	YELLOW	NBB	10,000
64	337	2011	FORD	F250 PICKUP TRUCK	1FTBF2B66BEB82300	YELLOW	NBB	10,000
65	338	2011	FORD	F250 PICKUP TRUCK	1FTBF2B61BEB82303	YELLOW	BMB	10,000
66	339	2014	INTERNATIONAL	7600	1HTWLZR3EH781150	YELLOW	KRB	38,580
67	340	2014	INTERNATIONAL	7600	1HTWLZR7EH781149	YELLOW	RWW	38,580
68	341	2014	INTERNATIONAL	7600	1HTWLZR5EH781151	YELLOW	NBB	38,580
69	342	2014	FORD	F250 PICKUP TRUCK	1FTBF2B66FEA42401	YELLOW	KRB	10,000
70	343	2014	FORD	F250 PICKUP TRUCK	1FTBF2B66FEA42396	YELLOW	KRB	10,000
71	344	2014	FORD	F250 PICKUP TRUCK	1FTBF2B6XFEA42398	YELLOW	MHB	10,000
72	345	2014	FORD	F250 PICKUP TRUCK	1FTBF2B64FEA42400	YELLOW	MHB	10,000
73	346	2014	FORD	F250 PICKUP TRUCK	1FTBF2B68FEA42397	YELLOW	BMB	10,000
74	347	2014	DODGE	CARAVAN	2C4RDGBG9ER401896	GRAY	OPS	4,359
75	348	2015	FORD	F250 PICKUP TRUCK	1FTW2B62FEA42403	YELLOW	NBB	10,000
76	349	2015	FORD	F250 PICKUP TRUCK	1FTBF2B68FTEA42402	YELLOW	MHB	10,000
77	350	2015	FORD	F250 PICKUP TRUCK	1FTBF2B61FEA42399	YELLOW	BMB	10,000
78	351	2014	FORD	TRANSIT VAN	NMOLS6E74E1166679	GRAY	OPS	5,020

**NEW YORK STATE BRIDGE AUTHORITY
MID-HUDSON BRIDGE PLAZA
HIGHLAND, NEW YORK 12528
May 4, 2015
INSURANCE SPECIFICATIONS**

Contract Number: BA2011-OA-005IN
Contract Title: Commercial Insurance Package Policy

The facilities that comprise the New York State Bridge Authority and their locations are as follows:

- A) **Rip Van Winkle Bridge**
Catskill, NY 12414
(Greene County)
- B) **Kingston-Rhinecliff Bridge**
Kingston, NY 12401
(Ulster County)
- C) **Mid-Hudson Bridge** (including Headquarters Buildings)
Highland, NY 12528
(Ulster County)
- D) **Newburgh-Beacon Bridge**
Beacon, NY 12508
(Dutchess County)
- E) **Bear Mountain Bridge**
Stony Point, NY 10980
(Rockland County)
- F) **Walkway Over The Hudson**
Highland, NY 12528
(Ulster County)

Coverage:

- A) **Property**
Blanket Building and Contents agreed value replacement coverage. Proposals must be submitted based on the 2015 building appraisal values included in this insurance specification. All other See policy attachment. Any proposal not reflecting the attached appraised values here may be considered unresponsive.
- B) **Crime** – See policy attachment. Same as expiring limits.

Commercial Insurance Package Policy

- C) Inland Marine
- D) Electric Data Processing (EDP) and other replacement cost coverage. Same as expiring limits. See current policy.
- E) Miscellaneous Equipment. Same as expiring limits. See current policy.
- F) Boiler and Machinery. Same as expiring. See current policy.
Comprehensive coverage for each of the five locations.
\$500,000 Limit of Insurance.

Current Policy Period: August 1, 2014 – August 1, 2015

Please refer to copy of expiring policy for further detail.

Submission of Proposals:

Proposals must be provided in duplicate signed by an authorized officer of the proposer and received by Brian Bushek, Treasurer, at the New York State Bridge Authority's Headquarters Office, Mid-Hudson Bridge Plaza, Highland, New York 12528, (mailing address: P.O. Box 1010, Highland, New York 12528) no later than 10:00 A.M., June 30, 2015.

Any inquiries concerning this contract must only be directed to Brian Bushek at the above address or at (845) 691-7245, fax (845) 691-3560 or via e-mail at bbushek@nysba.ny.gov.

Schedule of Account Classifications and Location Designations

New York State Bridge Authority
Highland, New York

PROPERTY

001	RIP VAN WINKLE BRIDGE
002	KINGSTON-RHINECLIFF BRIDGE
003	MID-HUDSON BRIDGE
004	NEWBURGH-BEACON BRIDGE
005	BEAR MOUNTAIN BRIDGE

2015 Building Appraised Values

Any Proposal Received Not Using These Values May Be Considered Unresponsive

<u>Location Number</u>	<u>Building Number</u>	<u>Description</u>	<u>Value</u>
001	001	Administration Building R21	1,049,355
001	002	Toll Plaza	1,321,664
001	003	Storage Building R23	287,120
001	004	Maintenance Building R24	2,291,265
001	005	Sand and Salt Shed	37,599
001	006	Maintenance Garage	52,411
001	007	Fuel Station	59,248
002	001	Administration Building K31	1,182,662
002	002	Garage/Maintenance Office K32	1,665,752
002	003	Toll Plaza	1,110,881
002	004	Metal Storage Building K34	420,425
002	005	Salt Shed (Large)	120,773
002	006	Salt Shed (Small)	35,321
002	007	Storage Buildings/Shed K36	200,528
002	008	Fuel Station	58,108
003	001	Headquarters Building A74	3,281,373
003	002	Administration Building Garage M67	1,816,149
003	003	Metal Star Building M66 (North)	680,201
003	004	Toll Plaza	2,439,382
003	005	Metal Stat Building M74 (South)	1,842,354
003	006	Old Administration Building A57	1,295,459
003	007	Old Garage M58	251,800
003	008	Sand and Salt Shed	64,944
003	009	Former Residence (Training Room)	283,702
003	010	Garage 1 M1	33,041
003	011	Operations Building M65	1,628,154
003	012/009A	Garage 2 M2	33,041
004	001	Administration Building N51	1,826,403
004	002	Toll Plaza	3,836,244
004	003	Maintenance Building N53	2,088,458
004	004	Metal Storage Building N54	401,056
004	005	Salt Shed N55	112,797
004	006	Old Storage Building (Barn) N56	429,541
004	007	Former Residence (Storage) N57	144,700
004	008	Garage N58	37,599
004	009	Metal Storage Building N59	500,182
004	010	Fuel Station	69,501
005	001	Administration Building B1	2,414,315
005	002	Toll Plaza	1,954,011
005	003	Storage Shed B3	47,853
005	004	Old Maintenance Garage B2	573,101
005	005	Maintenance Building/Offices B7	1,890,207
005	006	Fuel Station	54,690

**NEW YORK STATE BRIDGE AUTHORITY
MID-HUDSON BRIDGE PLAZA
HIGHLAND, NEW YORK 12528
May 1, 2015
INSURANCE SPECIFICATIONS**

Contract Number: BA2015-OA-003IN
Contract Title: Police Professional Liability Insurance

The facilities that comprise the New York State Bridge Authority and their locations are as follows:

- A) **Rip Van Winkle Bridge**
Catskill, NY 12414
(Greene County)
- B) **Kingston-Rhinecliff Bridge**
Kingston, NY 12401
(Ulster County)
- C) **Mid-Hudson Bridge** (including Headquarters Buildings)
Highland, NY 12528
(Ulster County)
- D) **Newburgh-Beacon Bridge**
Beacon, NY 12508
(Dutchess County)
- E) **Bear Mountain Bridge**
Stony Point, NY 10980
(Rockland County)
- F) **Walkway Over The Hudson**
Highland, NY 12528
(Ulster County)

Coverage:

\$1,000,000 each person, each occurrence and aggregate for wrongful acts which result in bodily injury or property damage.

The 1 individual designated as police officer is the security coordinator. This individual is not armed and performs a Dispatcher function for the Authority by coordinating with other state and local law enforcement agencies.

Police Professional Liability Insurance

Please see attachment for description of police duties

Deductible

\$5,000 per claim.

Current Policy Period: August 1, 2014 – August 1, 2015

Please refer to copy of expiring policy for further detail.

Submission of Proposals:

Proposals must be provided in duplicate signed by an authorized officer of the proposer and received by Brian Bushek, Treasurer, at the New York State Bridge Authority's Headquarters Office, Mid-Hudson Bridge Plaza, Highland, New York 12528, (mailing address: P.O. Box 1010, Highland, New York 12528) no later than 10:00 A.M., June 30, 2015.

Any inquiries concerning this contract must only be directed to Brian Bushek at the above address or at (845) 691-7245, fax (845) 691-3560 or via e-mail at bbushek@nysba.ny.gov.

Policy Number: H63106006700
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

Claim #: 63100009226 Date of Loss: 06/25/2008 Accident State: NY Old Claim#: Unit Number:
 Date Open: 06/30/2008 Date Closed: 07/03/2008 Claim Status: Closed Driver:
 Description of Accident: IV STRUCK IN REAR BY OV ON BRIDGE TRAFFIC STOPPED IV 2001 DODGE #532081 - RECORD ONLY

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NEW YORK STATE BRIDGE	21.2 COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 63100009226			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2007 TO 08/01/2008

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
TOTALS IN PERIOD:	\$584,285.30	\$0.00	\$4,150.47	\$0.00	\$0.00	\$0.00	\$588,435.77	\$0.00	\$0.00	\$0.00	\$-55,450.00

NUMBER OF CLAIMS IN PERIOD: 5

GRAND TOTALS

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
GRAND TOTALS:	\$584,285.30	\$0.00	\$4,150.47	\$0.00	\$0.00	\$0.00	\$588,435.77	\$0.00	\$0.00	\$0.00	\$-55,450.00

TOTAL NUMBER OF CLAIMS: 5

Policy Number: H63100006700
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2007 TO 08/01/2008

Claim #: 631000006378 Date of Loss: 08/07/2007 Accident State: NY Old Claim#: Unit Number:
 Date Open: 08/09/2007 Date Closed: 01/09/2008 Claim Status: Closed Driver:
 Description of Accident: FIRE IN METAL GARAGE - DAMAGE TO VEHICLES

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NEW YORK STATE BRIDGE	21 2-OTC	C	\$583,532.50	\$0.00	\$4,150.47	\$0.00	\$0.00	\$0.00	\$587,682.97	\$0.00	\$0.00	\$0.00	\$-55,450.00
Group Total for Claim No. 631000006378			\$583,532.50	\$0.00	\$4,150.47	\$0.00	\$0.00	\$0.00	\$587,682.97	\$0.00	\$0.00	\$0.00	\$-55,450.00

Claim #: 631000006927 Date of Loss: 10/06/2007 Accident State: NY Old Claim#: Unit Number:
 Date Open: 10/08/2007 Date Closed: 10/09/2007 Claim Status: Closed Driver:
 Description of Accident: IV STRUCK DEER - 2005 CHEV #288959

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NEW YORK STATE BRIDGE	21 2-OTC	C	\$752.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$752.80	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000006927			\$752.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$752.80	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000009035 Date of Loss: 06/06/2008 Accident State: NY Old Claim#: Unit Number:
 Date Open: 06/12/2008 Date Closed: 12/15/2008 Claim Status: Closed Driver:
 Description of Accident: IV BACKED OVER ANOTHER EMPLOYEE'S FOOT IV - 2000 CHEV #500626/DUMP TRUCK

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
SGRO, MICHAEL K	19 4-621 CSLUR1	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000009035			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000009036 Date of Loss: 06/10/2008 Accident State: NY Old Claim#: Unit Number:
 Date Open: 06/12/2008 Date Closed: 07/14/2009 Claim Status: Closed Driver:
 Description of Accident: 3 VEHICLE ACCIDENT DURING MERGE ON RAMP/BRIDGE IV - 2002 CHEV #252626

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
CARPINO, CHRISTINE I.	19 4 APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000009036			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Policy Number: H63100006701
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

Claim #: 633000012658 Date of Loss: 07/16/2009 Accident State: NY Old Claim#: Unit Number:
 Date Open: 07/21/2009 Date Closed: 08/10/2010 Claim Status: Closed Driver:
 Description of Accident: MV#1 WAS E/B WENT TO CHANGE LANE FROM PASSING LANE TO RIGHT LANE AND STRICKING MV#2 RIGHT SIDE

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
MICHAEL D IRWIN	19.4/LJABCSL	C	\$4,526.83	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00	\$4,604.83	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21.3-COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
IRWINMICHAEL	19.4-APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 633000012658			\$4,526.83	\$0.00	\$78.00	\$0.00	\$0.00	\$0.00	\$4,604.83	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2008 TO 08/01/2009

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
TOTALS IN PERIOD:	\$8,307.23	\$0.00	\$168.50	\$0.00	\$0.00	\$0.00	\$8,475.73	\$0.00	\$0.00	\$0.00	\$0.00

NUMBER OF CLAIMS IN PERIOD: 4

GRAND TOTALS

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
GRAND TOTALS:	\$8,307.23	\$0.00	\$168.50	\$0.00	\$0.00	\$0.00	\$8,475.73	\$0.00	\$0.00	\$0.00	\$0.00

TOTAL NUMBER OF CLAIMS: 4

Policy Number: H63100006701
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2008 TO 08/31/2009

Claim #: 631000010868 Date of Loss: 12/20/2008 Accident State: NY Old Claim#: Unit Number:
 Date Open: 01/02/2009 Date Closed: 08/31/2009 Claim Status: Closed Driver:
 Description of Accident: IV BACKED INTO OV WHILE CLEARING SNOW IV 2008 FORD DUMP #15525

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
BEERS, BARBARA	19.4 LIABCSL	C	\$750.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$750.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 631000010868			\$750.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$750.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000011007 Date of Loss: 01/14/2009 Accident State: NY Old Claim#: Unit Number:
 Date Open: 01/20/2009 Date Closed: 03/17/2009 Claim Status: Closed Driver:
 Description of Accident: IV STRUCK BY OV THAT ATTEMPTED TO MERGE INTO CENTER LANE OF TRAFFIC - IV 2004 INT #79761

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NY STATE BRIDGE	21.2 COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 631000011007			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000012727 Date of Loss: 07/21/2009 Accident State: NY Old Claim#: Unit Number:
 Date Open: 07/27/2009 Date Closed: 08/21/2009 Claim Status: Closed Driver:
 Description of Accident: REAR END COLLISION IN SUNOCO PARKING LOT IV 2008 FORD #C11514

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
JOY, JUANITA	19.4 LIABCSL	C	\$3,030.40	\$0.00	\$90.50	\$0.00	\$0.00	\$0.00	\$3,120.90	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 631000012727			\$3,030.40	\$0.00	\$90.50	\$0.00	\$0.00	\$0.00	\$3,120.90	\$0.00	\$0.00	\$0.00	\$0.00

Policy Number: H63100006702
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY
 SUB TOTALS for Policy Period: 08/01/2009 TO 08/01/2010



NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

LOSS RUN BY POLICY

	<u>Loss Paid</u>	<u>Med Paid</u>	<u>Exp Paid</u>	<u>Current Loss Resv</u>	<u>Current Exp Resv</u>	<u>Current Med Resv</u>	<u>Gross Incurred</u>	<u>Expense Recovery</u>	<u>Deduct Recovery</u>	<u>Salvage Recovery</u>	<u>Subro. Recovery</u>
TOTALS IN PERIOD:	\$4,315.56	\$0.00	\$1,663.57	\$0.00	\$0.00	\$0.00	\$5,979.13	\$0.00	\$0.00	\$0.00	\$0.00

NUMBER OF CLAIMS IN PERIOD: 4

GRAND TOTALS

	<u>Loss Paid</u>	<u>Med Paid</u>	<u>Exp Paid</u>	<u>Current Loss Resv</u>	<u>Current Exp Resv</u>	<u>Current Med Resv</u>	<u>Gross Incurred</u>	<u>Expense Recovery</u>	<u>Deduct Recovery</u>	<u>Salvage Recovery</u>	<u>Subro. Recovery</u>
GRAND TOTALS:	\$4,315.56	\$0.00	\$1,663.57	\$0.00	\$0.00	\$0.00	\$5,979.13	\$0.00	\$0.00	\$0.00	\$0.00

TOTAL NUMBER OF CLAIMS 4

Policy Number: H63100006702
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2009 TO 08/31/2010

Claim #:	631000013608	Date of Loss:	10/22/2009	Accident State:	NY	Old Claim#:		Unit Number:	
Date Open:	11/09/2009	Date Closed:	11/20/2009	Claim Status:	Closed	Driver:			
Description of Accident:	INSURED HAD WINDSHIELD REPAIRED								

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NY STATE BRIDGE	212-OTC	C	\$84.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$84.95	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000013608			\$84.95	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$84.95	\$0.00	\$0.00	\$0.00	\$0.00

Claim #:	631000014537	Date of Loss:	02/25/2010	Accident State:	NY	Old Claim#:		Unit Number:	
Date Open:	03/03/2010	Date Closed:	07/23/2010	Claim Status:	Closed	Driver:			
Description of Accident:	MV#1 TURNING LEFT MV#2 GOING STRAIGHT WESTBOUND MV#1 BRAKED SKIDDED & STRUCK MV#2								

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NELLIE MENDEZ	194-LIABCSL	C	\$4,230.61	\$0.00	\$1,663.57	\$0.00	\$0.00	\$0.00	\$5,894.18	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000014537			\$4,230.61	\$0.00	\$1,663.57	\$0.00	\$0.00	\$0.00	\$5,894.18	\$0.00	\$0.00	\$0.00	\$0.00

Claim #:	631000014538	Date of Loss:	02/25/2010	Accident State:	NY	Old Claim#:		Unit Number:	
Date Open:	03/03/2010	Date Closed:	06/17/2010	Claim Status:	Closed	Driver:			
Description of Accident:	MV #1 HIT MV#2 IN REAR WHILE WAITING TO PAY TOLL								

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
PETCHULA MARTIN	194-APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000014538			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #:	631000014889	Date of Loss:	04/02/2010	Accident State:	NY	Old Claim#:		Unit Number:	
Date Open:	04/07/2010	Date Closed:	07/27/2010	Claim Status:	Closed	Driver:			
Description of Accident:	MV #1 BACKED INTO MV #2								

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
ELRAC INC	194-APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000014889			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Policy Number: H63100006703
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2010 TO 08/01/2011

Claim #: 631000019615 Date of Loss: 07/06/2011 Accident State: NY Old Claims:
 Date Open: 07/13/2011 Date Closed: 10/19/2011 Claim Status: Closed Driver:
 Description of Accident: MV #2 STRUCK MV#1 IN THE REAR Unit Number:

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NY STATE BRIDGE	21.2 CO.L.	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000019615			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2010 TO 08/01/2011

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
TOTALS IN PERIOD:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

NUMBER OF CLAIMS IN PERIOD: 1

GRAND TOTALS

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
GRAND TOTALS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

TOTAL NUMBER OF CLAIMS: 1

Policy Number: H63100006704
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

GRAND TOTALS

	<u>Loss Paid</u>	<u>Med Paid</u>	<u>Exp Paid</u>	<u>Current Loss Resv</u>	<u>Current Exp Resv</u>	<u>Current Med Resv</u>	<u>Gross Incurred</u>	<u>Expense Recovery</u>	<u>Deduct Recovery</u>	<u>Salvage Recovery</u>	<u>Subro Recovery</u>
GRAND TOTALS:	\$1,982.08	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$2,362.34	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL NUMBER OF CLAIMS:	6										

Policy Number: HG3100006704
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

Claim #: 631000021008 Date of Loss: 11/14/2011 Accident State: NY Old Claim#: Unit Number:
 Date Open: 11/29/2011 Date Closed: 03/23/2012 Claim Status: Closed Driver:
 Description of Accident: MV2 ENTERED FRM STP POS & WAS STRUCK IN REAR BY MV 1

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct. Recovery	Salvage Recovery	Subro. Recovery
RAMON A. BANKS	19.41A/BCSL	C	\$1,136.44	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,136.44	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21.2/COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000021008			\$1,136.44	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,136.44	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000022224 Date of Loss: 05/04/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 05/14/2012 Date Closed: 06/18/2012 Claim Status: Closed Driver:
 Description of Accident: INSD VEH PARKED WHEN OV HIT IT

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct. Recovery	Salvage Recovery	Subro. Recovery
NY STATE BRIDGE	21.2/COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000022224			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000023138 Date of Loss: 07/23/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 07/30/2012 Date Closed: 11/28/2012 Claim Status: Closed Driver:
 Description of Accident: MV#1 BACKED INTO MV #2

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct. Recovery	Salvage Recovery	Subro. Recovery
EDWIN CORRALES	19.41A/BCSL	C	\$845.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$845.64	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21.2/COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000023138			\$845.64	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$845.64	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2011 TO 08/01/2012

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct. Recovery	Salvage Recovery	Subro. Recovery
TOTALS IN PERIOD:	\$1,982.08	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$2,362.34	\$0.00	\$0.00	\$0.00	\$0.00
NUMBER OF CLAIMS IN PERIOD:	6										

Policy Number: H63100006704
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2011 TO 08/01/2012

Claim #: 631000020341 Date of Loss: 09/08/2011 Accident State: NY Old Claim#:
 Date Open: 09/16/2011 Date Closed: 10/17/2011 Claim Status: Closed Driver:
 Description of Accident: MV #2 LOST CONTRRL & HIT PARKED MV #1 Unit Number:

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NY STATE BRIDGE	21 2 COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No: 631000020341			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000020352 Date of Loss: 09/07/2011 Accident State: NY Old Claim#:
 Date Open: 09/19/2011 Date Closed: 11/22/2011 Claim Status: Closed Driver:
 Description of Accident: MV #1 SKIDDED ON WET PAVEMENT & HIT MV #2 IN THE REAR Unit Number:

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
DANIEL LAVERSDORF	19 4 APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21 2 COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No: 631000020352			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000021002 Date of Loss: 11/16/2011 Accident State: NY Old Claim#:
 Date Open: 11/29/2011 Date Closed: 02/16/2012 Claim Status: Closed Driver:
 Description of Accident: IV CITED FOR DRIVER INATTENTION WHEN COLL OCCURRED Unit Number:

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
MICHELLE BRINKWORTH	19 4 LABCSL	C	\$0.00	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No: 631000021002			\$0.00	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$380.26	\$0.00	\$0.00	\$0.00	\$0.00



LOSS RUN BY POLICY

Policy Number: H63100006705
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRAETORIAN INSURANCE COMPANY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY

Claim #: 631000024066 Date of Loss: 10/12/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 11/06/2012 Date Closed: 11/06/2012 Claim Status: Closed Driver: FRIED DAVID
 Description of Accident: DUPLICATE TO 631-23861

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
SEE 631-23861	21 2 COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 631000024066			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000025142 Date of Loss: 05/07/2013 Accident State: NY Old Claim#: Unit Number:
 Date Open: 05/08/2013 Date Closed: 08/28/2013 Claim Status: Closed Driver: FRIED DAVID
 Description of Accident: INSD AND CLMT CHANGING LANES AT THE SAME TIME AND COLLIDED

Claimant	Line/Cov	St	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
WILLIAM HAWKINS	19 4 APD	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21 2 COLL	C	\$0.00	\$0.00	\$700.00	\$0.00	\$0.00	\$0.00	\$700.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No 631000025142			\$0.00	\$0.00	\$700.00	\$0.00	\$0.00	\$0.00	\$700.00	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2012 TO 08/01/2013

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
TOTALS IN PERIOD:	\$5,330.22	\$0.00	\$840.00	\$0.00	\$0.00	\$0.00	\$6,170.22	\$0.00	\$0.00	\$0.00	\$0.00
NUMBER OF CLAIMS IN PERIOD: 5											

GRAND TOTALS

	Loss Paid	Med Paid	Exp Paid	Current Loss Resv	Current Exp Resv	Current Med Resv	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
GRAND TOTALS:	\$5,330.22	\$0.00	\$840.00	\$0.00	\$0.00	\$0.00	\$6,170.22	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL NUMBER OF CLAIMS 5											

Policy Number: H63100006705
 Name of Insured: NY STATE BRIDGE AUTHORITY
 Name of Agent:
 Carrier Name: PRÆTORIAN INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2012 TO 08/01/2013

Claim #: 631000023263 Date of Loss: 08/06/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 08/07/2012 Date Closed: 12/17/2012 Claim Status: Closed Driver:
 Description of Accident: MV #1 HIT MV #2 IN THE REAR

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
LIZZI COSIMO	19.4.LIABCSL	C	\$0.00	\$0.00	\$60.00	\$0.00	\$0.00	\$0.00	\$60.00	\$0.00	\$0.00	\$0.00	\$0.00
NY STATE BRIDGE	21.2.COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000023263			\$0.00	\$0.00	\$60.00	\$0.00	\$0.00	\$0.00	\$60.00	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000023703 Date of Loss: 09/25/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 09/26/2012 Date Closed: 11/07/2012 Claim Status: Closed Driver:
 Description of Accident: INSURED DRIVER BACKED INTO PARKED CAR

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
NY STATE BRIDGE	21.2.COLL	C	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ARDENIO BROTHERS	19.4.LIABCSL	C	\$4,190.82	\$0.00	\$80.00	\$0.00	\$0.00	\$0.00	\$4,270.82	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000023703			\$4,190.82	\$0.00	\$80.00	\$0.00	\$0.00	\$0.00	\$4,270.82	\$0.00	\$0.00	\$0.00	\$0.00

Claim #: 631000023861 Date of Loss: 10/12/2012 Accident State: NY Old Claim#: Unit Number:
 Date Open: 10/16/2012 Date Closed: 12/19/2012 Claim Status: Closed Driver:
 Description of Accident: MV #1 BACKED UP TO GET OUT OF EASY PASS LANE WHEN HIT FRONT OF MV #2

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
JOHN NAPIER	19.4.LIABCSL	C	\$1,139.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,139.40	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. 631000023861			\$1,139.40	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,139.40	\$0.00	\$0.00	\$0.00	\$0.00

Policy Number: GK14D000005-00
 Name of Insured: NEW YORK STATE BRIDGE AUTHORITY
 Name of Agent: SALERNO BROKERAGE CORPORATION
 Carrier Name: HDI-GERLING AMERICA INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2013 TO 08/01/2014

Claim #: NPHD14029003 Date of Loss: 01/30/2014 Accident State: NY Old Claim#: Unit Number:
 Date Open: 02/12/2014 Date Closed: 10.15.2014 Claim Status: Closed Driver: HOWLEY THOMAS
 Description of Accident: Per insured incident report, mv#2 hit mv#1. Insured going 3rd party for any damages & workers comp. is primary for injury to ee (driver). Insured attorney wants claim reported to carrier for possible no fault benefits.

Claimant	Line/Cov	SI	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
HOWLEY THOMAS	19.2 PIP	C	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$0.00
Group Total for Claim No. NPHD14029003			\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$0.00

SUB TOTALS for Policy Period: 08/01/2013 TO 08/01/2014

	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
TOTALS IN PERIOD:	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$0.00

NUMBER OF CLAIMS IN PERIOD: 1

GRAND TOTALS

	Loss Paid	Med Paid	Exp Paid	Current Loss Rev	Current Exp Rev	Current Med Rev	Gross Incurred	Expense Recovery	Deduct Recovery	Salvage Recovery	Subro Recovery
GRAND TOTALS:	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$22.80	\$0.00	\$0.00	\$0.00	\$0.00

TOTAL NUMBER OF CLAIMS: 1

Policy Number: GA14D000018-00
 Name of Insured: NEW YORK STATE BRIDGE AUTHORITY
 Name of Agent: SALERNO BROKERAGE CORPORATION
 Carrier Name: HDI-GERLING AMERICA INSURANCE COMPANY



LOSS RUN BY POLICY

NORTH ISLAND FACILITIES (NIF) PUBLIC ENTITY
 Policy Period: 08/01/2014 TO 08/01/2015

Claim #: NPHD14100009 Date of Loss: 10/27/2014 Accident State: NY Old Claim#: Unit Number:
 Date Open: 10/29/2014 Date Closed: Claim Status: Open Driver: SMITH MITCHELL
 Description of Accident: IV passenger (employee) exited IV to unlock a gate. Passenger got in front of IV and IV driver states his foot slipped off the brake and onto the gas pedal pinning passenger between IV and the gate. IV driver immediately backed up to free passenger and called emergency services. Passenger was taken to Albany Medical Center
 Claimant: Line/Cov St Loss Paid Med Paid Exp Paid Current Loss Resv Current Exp Resv Current Med Resv Gross Incurred Expense Recovery Deduct Recovery Salvage Recovery Subro Recovery
 GRIFFIN MARC 19.4.447 O \$0.00 \$0.00 \$0.00 \$100.00 \$1,000.00 \$0.00 \$1,100.00 \$0.00 \$0.00 \$0.00 \$0.00
 GRIFFIN MARC 19.3.PIP C \$0.00 \$0.00 \$25.10 \$0.00 \$0.00 \$0.00 \$0.00 \$25.10 \$0.00 \$0.00 \$0.00 \$0.00
 Group Total for Claim No: NPHD14100009 \$0.00 \$0.00 \$25.10 \$100.00 \$1,000.00 \$0.00 \$1,125.10 \$0.00 \$0.00 \$0.00 \$0.00

SUB TOTALS for Policy Period: 08/01/2014 TO 08/01/2015

	<u>Loss Paid</u>	<u>Med Paid</u>	<u>Exp Paid</u>	<u>Current Loss Resv</u>	<u>Current Exp Resv</u>	<u>Current Med Resv</u>	<u>Gross Incurred</u>	<u>Expense Recovery</u>	<u>Deduct Recovery</u>	<u>Salvage Recovery</u>	<u>Subro Recovery</u>
TOTALS IN PERIOD:	\$0.00	\$0.00	\$25.10	\$100.00	\$1,000.00	\$0.00	\$1,125.10	\$0.00	\$0.00	\$0.00	\$0.00

NUMBER OF CLAIMS IN PERIOD: 1

GRAND TOTALS

	<u>Loss Paid</u>	<u>Med Paid</u>	<u>Exp Paid</u>	<u>Current Loss Resv</u>	<u>Current Exp Resv</u>	<u>Current Med Resv</u>	<u>Gross Incurred</u>	<u>Expense Recovery</u>	<u>Deduct Recovery</u>	<u>Salvage Recovery</u>	<u>Subro Recovery</u>
GRAND TOTALS:	\$0.00	\$0.00	\$25.10	\$100.00	\$1,000.00	\$0.00	\$1,125.10	\$0.00	\$0.00	\$0.00	\$0.00

TOTAL NUMBER OF CLAIMS: 1



**Professional
Governmental
Underwriters, Inc.
The Authority.**

Professional Governmental Underwriters, Inc.

Named Insured: New York State Bridge Authority

State: NY

Broker: R-T Specialty of Illinois, LLC

Run Date: 04/01/2015

Policy #: 0202-3065

Effective Date: 08/01/11

Expiration Date: 08/01/12

Company: Darwin National Assurance Company

LOB: Police

Policy Type: Occurrence

Value Date: 04/01/2015

Claim #	Loss Date	Reported Date	Claim Status	Narrative	Total Paid
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No Claims for this policy.



**Professional
Governmental
Underwriters, Inc.**
The Authority

Professional Governmental Underwriters, Inc.

Named Insured: New York State Bridge Authority

State: NY

Broker: R-T Specialty of Illinois, LLC

Run Date: 04/01/2015

Policy #: 0202-3065

Effective Date: 08/01/12

Expiration Date: 08/01/13

Company: Darwin National Assurance Company

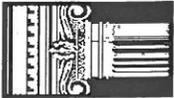
LOB: Police

Policy Type: Occurrence

Value Date: 04/01/2015

Claim #	Loss Date	Reported Date	Claim Status	Narrative	Total Paid
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No Claims for this policy.



**Professional
Governmental
Underwriters, Inc.
The Authority.**

Professional Governmental Underwriters, Inc.

Named Insured: New York State Bridge Authority

State: NY

Broker: R-T Specialty of Illinois, LLC

Run Date: 04/01/2015

Policy #: 0202-3065

Effective Date: 08/01/13

Expiration Date: 08/01/14

Company: Darwin National Assurance Company

LOB: Police

Policy Type: Occurrence

Value Date: 04/01/2015

Claim #	Loss Date	Reported Date	Claim Status	Narrative	Total Paid
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No Claims for this policy.



Professional Governmental Underwriters, Inc.

**Professional
Governmental
Underwriters, Inc.
The Authority.**

Named Insured: New York State Bridge Authority

State: NY

Broker: R-T Specialty Insurance Services, LLC

Run Date: 04/01/2015

Policy #: 0202-3065

Effective Date: 08/01/14

Expiration Date: 08/01/15

Company: Darwin National Assurance Company

LOB: Police

Policy Type: Occurrence

Value Date: 04/01/2015

Claim #	Loss Date	Reported Date	Claim Status	Narrative	Total Paid
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No Claims for this policy.



North Island Group, Inc.

NIF Governmental Services, Inc., 103 Spring Valley Road, Montvale, NJ 07645

201 391 5900 800 691 5903 fax 201 391 5959

bschapira@nifgroup.com

05/10/11

Fr: Belkis Schapira

*Poker Professional
Previous Carrier
No subsequent claims*

Re: NY STATE BRIDGE AUTHORITY

As of 03/31/11 no losses has been reported under the above referenced Praetorian Insurance Company policy(ies).

07-08	08-09	09-10	10-11
RENEWS	RENEWS	RENEWS	RENEWS
H631-000068-00	H631-000068-01	H631-000068-02	H631-000068-03

Anthony Kaprowski

Underwriting Manager for Praetorian Insurance Company.

PROGRAM: CP2247C HARLEYSVILLE INSURANCE POLICYHOLDER REPORT VALUED: 03/20/15 PAGE 02
NAME: NEW YORK STATE BRIDGE POLICY 0M0550 81-5710 ASSOCIATES OF GLENS FALLS INC REPORT 05
CLAIM EXPERIENCE DETAIL NEW YORK REQ-ID XXX

OF 0M0550 08-01-09 TO 08-01-10 ALLIED LINES CLAIM EXPERIENCE
CLAIM NO DATE STORE CLAIMANT DESCRIPTION TYPE STAT RESERVE PAYMENT
01 031710 THIS LOSS AND LIMIT INFORMATION IS SUBJECT TO ADDITIONS, CORRECTIONS AND/OR
CHANGES. THERE ARE NO REPRESENTATIONS OR WARRANTIES AS TO ITS ACCURACY,
AND THE INFORMATION SHALL NOT BE INTERPRETED AS AN ADMISSION OF LIABILITY.