

MINUTES OF THE REGULAR MEETING OF THE
NEW YORK STATE BRIDGE AUTHORITY

HELD AT HEADQUARTERS, HIGHLAND, N.Y. ON
March 21, 2013

Business agenda documents/reports are mailed to the Board Members and General Counsel one week prior to the meeting. Board meetings are web cast and copy of the web cast is kept as part of the Board meeting files.

IN ATTENDANCE:

BOARD MEMBERS:

Gerentine, Richard A., Acting Chairman
Dressel, Roderick O., Commissioner
Higgins, Roger P., Commissioner
Lashua, C. Vane, Commissioner
Ramaglia, Joseph, Commissioner

Whitbeck, Carl G., Counsel

OFFICERS:

Ruggiero, Joseph, Secretary
Bushek, Brian, Treasurer

Acting Chairman Gerentine called the meeting to order at 3:50 pm and called for a motion to adopt the minutes of the February 21, 2013 regular meeting. On motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the minutes of the February 21, 2013 Regular Meeting were adopted unanimously.

ADMINISTRATION:

1) IBTTA Member Dues 2013

Mr. Russo informed the Board that the authority is in receipt of the International Bridge, Tunnel and Turnpike Association (IBTTA) invoice for active membership dues for the year 2013. The dues schedule is based on each member's toll revenue for the prior fiscal year. Based on the Authority's audited toll revenue for 2012, the membership dues for 2013 is \$21,670, an increase of \$3,734 over the prior year. Mr. Russo explained that this increase is due to the fact that the Authority's increased toll revenue resulting from the toll schedule adjustment has moved the Authority into the next category of the IBTTA's base dues structure. The IBTTA has taken on the responsibility of representing the toll industry and coordinating the activities of various other tolling organizations to achieve national interoperability as required by the federal highway bill, MAP-21. It has also formalized its "Campaign for the Tolling Industry" to enhance the public image of the toll industry and to promote acceptance of tolling initiatives among policy makers. Commissioner Dressel added that he supports and encourages the Board members to attend these meetings to help them understand and keep them apprised of what is going on in the industry. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Lashua, seconded by Commissioner Dressel, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-020

Resolution Date: March 21, 2013

WHEREAS the New York State Bridge Authority is an active member of the International Bridge, Tunnel and Turnpike Association (IBTTA); and,

WHEREAS, the Authority has received an invoice for its annual IBTTA membership dues for the year 2013 in the amount of \$21,670.00 ; now therefore,

BE IT RESOLVED that payment to the IBTTA is approved in the amount of \$21,670 for the Authority's 2013 membership dues; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

2) 2012 Annual Prompt Payment Report

Mr. Russo indicated that pursuant to New York State Bridge Authority Prompt Payment Policy §207.4b and §2880 of the Public Authorities Law, copies of the Annual Prompt Payment Report are to be mailed within ninety days of the end of the fiscal year to the State Comptroller, the State Director of the Budget, the Chairman of the State Finance Committee, and the Chairman of the Assembly Ways and Means Committee. Mr. Russo noted that there were 3 invoiced late fees incurred by delayed internal processes and 3 invoiced late fees incurred by either lost invoice or delayed payment attributed to a US Postal Service issue.

3) Annual Real Property Disposal Report/Disposal Guidelines

Mr. Russo indicated that pursuant to the Public Authorities Accountability Act of 2005, one of the requirements states that the Authority must annually review its guidelines for the disposal of property and furthermore, that the Board must re-designate a "Contracting Officer" to be responsible for such disposals. Based on Article 9, Title 5-A, of the Public Authorities Law, the Board must also approve annually a report listing all real property as well as a report of real and personal property disposed during the fiscal year. These reports are then submitted to various State offices as listed in the guidelines. Mr. Russo noted the Authority had no asset disposals in 2012 with fair market value greater than \$5,000.00.

4) Annual Procurement Contracts Report & Procurement Guidelines

Mr. Russo reported that the Public Authorities Law and the Authority's Guidelines for

Establishing Standards for the Use, Award, Monitoring and Reporting of Procurement Contracts require an annual report detailing information on contracts over \$5,000 entered into during 2012 or ongoing from previous years. This report requires Board approval per the guidelines. Additionally, the Board must review and adopt the Authority's Guidelines for Establishing Standards for the Use, Award, Monitoring and Reporting of Procurement Contracts on an annual basis. The report together with the guidelines, are submitted to various State departments and Legislative committees in accordance with the Public Authorities Law.

Acting Chairman asked for a motion to approve the Annual Prompt Payment, Annual Disposal Report and the Annual Procurement Report. On a motion of Commissioner Higgins, seconded by Commissioner Lashua, all resolutions were adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-021

Resolution Date: March 21, 2013

WHEREAS, the New York State Bridge Authority Prompt Payment Policy as adopted by this Authority in compliance with §2880 of the Public Authorities Law requires an annual report on the scope and implementation of such policy be submitted to the Board for review purposes within ninety (90) days after the close of the fiscal year; and,

WHEREAS, the Executive Director has compiled and submitted such a report reflecting the requirements of Section 207.4 of such Policy; now therefore,

BE IT RESOLVED the New York State Bridge Authority does hereby approve the described report for fiscal year 2012 filed herewith; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21ST day of March, 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-022

Resolution Date: March 21, 2013

WHEREAS the Public Authority Accountability Act of 2005 requires that Authorities submit annually a report listing all real property owned by the Authority together with a report of all real and personal property disposed subject to Article 9, Title 5-A of the Public Authorities Law; and,

WHEREAS, the Authority Board has received and reviewed the subject reports; now therefore,

BE IT RESOLVED that the annual Real Property and the Disposal of Personal Property reports for 2012 are hereby approved for submission in accordance with the reporting requirements of Article 9, Title 5-A of the Public Authorities Law; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-023

Resolution Date: March 21, 2013

WHEREAS the Public Authority Accountability Act of 2005 requires that Authorities annually review and re-adopt guidelines for the disposal of property subject to Article 9, Title 5-A of the Public Authorities Law; and,

WHEREAS, the Authority Board has received and reviewed its disposal guidelines; now therefore,

BE IT RESOLVED that the attached "Guidelines and Procedures for the Disposal of Personal Property" are hereby re-adopted and that the Board re-designates the Director of Administrative Services as its Contracting Officer with the duties and responsibilities as described within the "guidelines"; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-024

Resolution Date: March 21, 2013

WHEREAS, the Bridge Authority has heretofore adopted Standards For the Use, Award Monitoring and Reporting of Procurement Contracts which was last amended as of November 15, 2012; and,

WHEREAS, the guidelines recommend that they be reviewed and confirmed by the Board annually; and,

WHEREAS, there are no recommendations for changes to the standards.

NOW THEREFORE,

BE IT RESOLVED, that the Bridge Authority re-affirms its Standards For the Use, Award Monitoring and Reporting of Procurement Contracts.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-025

Resolution Date: March 21, 2013

WHEREAS the Board has received and reviewed the Authority's 2012 annual procurement report in accordance with its Procurement Guidelines and pursuant to Section 2879 of the Public Authorities Law; now therefore,

BE IT RESOLVED that the report is hereby approved and authorized for official submission as required by the Public Authorities Law; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

5) Kronos Timeclock Replacement

Mr. Russo indicated to the Board that eight of the original twelve clocks which have been in operation since 1999 need to be replaced. Four clocks have been replaced over the past two years. The remaining eight clocks have been operating with an increased rate of error messages and failed bar code reads due to the physical wear and tear. Kronos stopped service support for these clocks two years ago. Replacement clocks are available through a NYS OGS contract and would be paid through the equipment budget for each bridge facility. The quote in the amount of \$22,136.80 includes both the cost of the clocks and a one year support service agreement beyond the warranty. Mr. Russo noted that this purchase is in accordance with Authority procurement guidelines. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Dressel, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-026

Resolution Date: March 21, 2013

WHEREAS, the Board has received a quotation for payroll time clocks to replace existing aging equipment; and,

WHEREAS, the Board has reviewed its staff memo supporting this replacement purchase and indicating that the quotation in the amount of \$22,136.80 is provided by Kronos Inc., offered under contract provided by the NYS Office of General Services; and,

WHEREAS, the Board has determined it to be in the public interest to procure such equipment to collect and document payroll transaction data in accordance with its internal controls; now,

BE IT RESOLVED that a purchase order be issued to Kronos, Inc. for the purchase of eight time clocks and related support service in the amount of \$22,136.80; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

ENGINEERING:

1) 2013 Capital Equipment Purchase – Heavy Duty Trucks

Mr. Moreau indicated that the 2013 capital equipment budget is mainly dedicated to the purchase of three (3) new heavy duty plow trucks with snow plowing equipment. These 20 year old vehicles are being replaced to high mileage and oil leakage/consumption problems. Mr. Moreau estimated the cost of the units at roughly \$150,000 each, noting this expense will make up the bulk of this year's \$500,000 equipment budget. Mr. Moreau explained that typically, the cab and chassis have been purchased off an NYS OGS contract and then the Authority would bid out a body and equipment package but there are no current OGS contracts for cabs/chassis. However, NYS General Municipal Law allows shared service between agencies for a properly advertised and awarded contract. In this case, Oneida County Department of Purchasing has advertised and awarded the procurement of International heavy duty trucks; their bid allowed for a discount on factory available options. The Maintenance department worked with the manufacturer's representative in specifying a cab and chassis for our use, utilizing the Oneida bid structure; the cost for these units is \$101,000.26 each. Mr. Moreau recommended issuing a purchase order to Navistar, Inc. of Loudonville, NY, in the amount of \$303,000.78. Mr Moreau added that General Counsel, Carl Whitbeck, has reviewed and approved this procurement.

2) System Wide Plow Truck Equipment Purchase

Mr. Moreau informed the Board that the Authority solicited proposals through the NYS Contract Reporter to add equipment to three (3) International 7500 SFA 4X2 cab and chassis with bids due March 1st, 2013. The equipment included three (3) complete truck up-fits and one (1) reversible butterfly plow. Seven vendors requested the proposal package. Five proposals were received with Henderson Products, of Watertown, NY providing the lowest cost proposal. Mr. Moreau recommended a purchase order be issued to Henderson in the amount of \$188,029.00.

Acting Chairman Gerentine asked for a motion to approve the 2013 Capital Equipment purchase – Heavy Duty Trucks as well as the System Wide Plow Truck Equipment Purchase. On a motion of Commissioner Dressel, seconded by Commissioner Ramaglia, both resolutions were adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-027

Resolution Date: March 21, 2013

WHEREAS, the New York State Bridge Authority has determined that it is in the public interest to issue a purchase order to Navistar, Inc of Loudonville, New York for the purchase of three (3) heavy duty plow truck cab and chassis; and,

WHEREAS, there is no current OGS contract for this equipment and Oneida County Department of Purchasing has publicly advertised and awarded the procurement

of International 2014 7500 SFA 4X2 heavy duty truck cab and chassis as Oneida County Bid #1604; and,

WHEREAS, NYS General Municipal Law allows for the Authority's shared utilization of another agency's publicly advertised and awarded contract; now therefore,

BE IT RESOLVED that a purchase order be issued to Navistar, Inc. for the purchase of three (3) International 2014 7500 SFA 4X2 heavy duty truck cab and chassis in the amount of \$303,000.78; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-028

Resolution Date: March 21, 2013

WHEREAS, the New York State Bridge Authority has determined that it is in the public interest to issue a purchase order to Henderson Products, of Watertown, New York for the purchase of three (3) truck up-fits and one (1) reversible butterfly plow for three (3) already provided International 7500 SFA 4X2 cab and chassis ; and,

WHEREAS, the Authority advertised this procurement opportunity in the NYS Contract Reporter and received five cost proposals; and,

WHEREAS, the Authority's Maintenance Department has reviewed and approved Henderson Products as the low bidder; now therefore,

BE IT RESOLVED that a purchase order be issued to Henderson Products for the purchase of the three (3) truck up-fits and one (1) reversible butterfly plow in the amount of \$188,029.00; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

3) System Wide Hazardous Spill Response Services

Mr. Moreau reported that the Authority advertised in the NYS Contract Reporter, opened bids on Friday, March 8th and four responses were received. The contractors shall be on call for emergency response to any Authority facility incidents involving hazardous materials. The contractors will also provide hazardous waste disposal services of the routine waste produced from our maintenance program. This is a three year term assignment and is paid on a time and material basis. Mr. Moreau recommended awarding four (4) individual agreements at \$25,000.00 each to Miller Environmental Group, Inc., Environmental Products & Services of Vermont, Inc., Enviro Clean Products and Services, LLC, and Hepaco Environmental Contractors to provide emergency response and environmental clean-up services on a time and material basis. A brief discussion followed relative to the Newburgh-Beacon Bridge Redecking project and the use of hazardous materials. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-029

Resolution Date: March 21, 2013

WHEREAS, the New York State Bridge Authority has determined that it is in the public interest to award four (4) three year term agreements for emergency response and environmental clean up services to be designated BA-2013-OE-106-OT;

WHEREAS, the need to respond to emergencies with appropriate hazardous disposal equipment is paramount to health and safety; and,

WHEREAS, the Authority's Engineering Department has reviewed the proposals; now therefore,

BE IT RESOLVED that four (4) individual purchase orders be issued to (1) Miller Environmental Group, Inc., of Rock Tavern, NY, (2) Environmental Products & Services of Vermont, Inc., of New Windsor, NY, (3) Enviro Clean Products and Services, LLC, of Wappingers Falls, NY and (4) Hepaco Environmental Contractors of Latham, NY each in the amount of \$25,000.00, to be paid for on a time and material basis; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

4) System Wide ITS Camera & Sign Support & Maintenance

Mr. Moreau reported that in September 2012, the Authority entered into a maintenance agreement with Transdyn, Inc., for service and repair of our extensive camera system. Terms were for six months with an expiration date of March 31, 2013. The contract was awarded with the option to renew for two (1) year periods at a cost of \$144,145.00/year. Mr. Moreau and Mr. Mazzella recommended continuing this maintenance agreement. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-030

Resolution Date: March 21, 2013

WHEREAS the Board of Commissioners has reviewed the memorandum regarding the funding for the Authority's ITS Preventive Maintenance addendum to Contract; BA-2010-RO-001-ES awarded by resolution of the board dated January 21, 2010 to Transdyn; and,

WHEREAS, the Authority has requested funding for preventive maintenance of the ITS system through our ITS Consultant with an annual lump sum payment of \$144,145.00 with an option renew (2) one year terms at the same cost now therefore,

BE IT RESOLVED that funding for contract BA-2012-OO-011-IT Intelligent Transportation Systems Preventative Maintenance addendum be extended one year from March 31, 2013 through March 31, 2014 at a lump sum amount of \$144,145.00; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

5) TTI Treadle Modification Software Development

Mr. Moreau reviewed the proposal from TTI to complete the necessary treadle modifications to allow automatic vehicle classification to deliver us a working version in an amount not to exceed \$75,000.00. Progress milestones are identified to assist with partial payments and progress management. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-031

Resolution Date: March 21, 2013

WHEREAS, the New York State Bridge Authority has determined that it is in the public interest to develop a system to automatically classify patron vehicles for toll purposes; and,

WHEREAS, Traffic Technologies, Inc., (TTI) is our current toll system software consultant and is uniquely qualified for this assignment; and,

WHEREAS, their existing contract, BA-2012-006-PS allows for additional work; now therefore,

BE IT RESOLVED that a Supplemental Agreement be issued to TTI, Inc., of New Windsor, NY in the amount not to exceed \$75,000.00; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of
March 2013.

Joseph Ruggiero, Secretary

6) 2013 Capital Improvement Plan – Six Month Review

Mr. Moreau stated that the Board of Commissioners approved the current five year Capital Plan in September 2012 for the period 2013 through 2017. Since September, a number of developments have occurred, including the award of the largest NYSBA project ever undertaken, the NBB Deck Replacement. The current plan, updated budgets for actual bid costs, and any changes influencing the priorities of the program were reviewed and some alterations were made. A summary of the significant changes involves delaying lead abatement work on the east approach of the MHB, reducing the scope of substructure repairs throughout our bridge system due to recent positive inspection results, completing energy improvements for the KRB office building with in-house forces, and reducing the scope of automatic toll collections studies. These alterations to the plan demonstrate how the Authority will meet the CIP needs over the next five years and will also generate a modest savings of approximately 1.5%. A brief discussion followed relative to savings. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-032

Resolution Date: March 21, 2013

WHEREAS, the Board has reviewed the revised 2013 – 2017 Capital Program for scope and cost; and,

WHEREAS, the Authority Directors and Executive Office have performed a six month review; actual project costs have been used instead of projected estimates resulting in a savings of 1.5%; now therefore,

BE IT RESOLVED that the updated 2013 – 2017 Capital Program in the amount of \$162,688,000 be approved; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

7) Jobs in Progress Monthly Report

Mr. Moreau advised the Board regarding the Engineering Jobs in Progress Report for the month of February, noting that steel repairs have resumed on the Walkway over the Hudson. In addition, the paint project on the Newburgh-Beacon North Span is underway after the winter shutdown. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Commissioner Lashua, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-033

Resolution Date: March 21, 2013

WHEREAS, the Board has reviewed the monthly Engineering Progress Report on Capital Project Status; and,

BE IT RESOLVED that the Engineering Progress Report is accepted as an instrument documenting the Board's briefing of Capital Construction activities; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

FINANCIAL:

1) Investment Transaction Report

Mr. Bushek reviewed the Investment Report for the month of February noting five investments for the month. Mr. Bushek mentioned the interest income yields dropped due to the annual bond payment. Acting Chairman Gerentine called for a motion. On a motion of Commissioner Dressel, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-034

Resolution Date: March 21, 2013

WHEREAS, the investment control procedures for the New York State Bridge Authority provide that the Board shall review and approve the report of investment transactions completed since the meeting of the Board on February 21, 2013; now therefore,

BE IT RESOLVED the New York State Bridge Authority does hereby concur with and approve the investment report as filed with this body on this date for the purpose noted; and,

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of
March 2013.

Joseph Ruggiero, Secretary

2) Revised Code of Ethics

Mr. Bushek indicated that the Authorities Budget Office (ABO) provided a new template outlining criteria to be included in the Authority's Code of Ethics. Upon receipt the Authority worked with General Counsel to update the existing policy to include all the changes identified from the ABO to allow for the updated policy to be reported as part of the annual Section 2800 reporting. Mr. Bushek added that as identified by the revised Code of Ethics the Board may formally name an Ethics Officer and in the past he has assumed this role without formal approval from the Board. Based on the recommendation of the Governance Committee, Acting Chairman Gerentine called for a motion. On a motion of Commissioner Higgins, seconded by Commissioner Ramaglia, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-035

Resolution Date: March 21, 2013

WHEREAS the proper and ethical conduct of employees and officials of the Authority is integral to executing the mission of the Authority; and,

WHEREAS the Authority has maintained a Code of Ethics which applies to all employees and officials; and,

WHEREAS, the Authority Budget Office has recommended updates to the Code of Ethics of all public authorities; now therefore

BE IT RESOLVED that the Authority adopts the revised Code of Ethics dated March 21, 2013 as recommended by the Governance Committee and shall incorporate the

revised Code of Ethics in the Internal Control Documentation and other such policies, procedures and employment forms as necessary; and

BE IT FURTHER RESOLVED that the Board appoints Mr. Brian Bushek to serve as Ethics Officer; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March, 2013.

Joseph Ruggiero, Secretary

3) Annual Report Section 2800 Public Authorities Law

Mr. Bushek informed the Board that the Annual Report per Section 2800 Public Authorities Law is to be sent to the Governor (Authorities Budget Office), Comptroller and the ranking majority and minority members of the Senate Finance and Assembly Ways and Means committees. Mr. Bushek also noted that this report is sent to the PARIS (Public Authority Reporting Information System).

4) 2012 Annual Investment Report

Mr. Bushek apprised the Board that the Authority's Investment Guidelines adopted pursuant to Public Authorities Law requires a series of reports. Mr. Bushek explained that the contents of the annual report include the Independent accountants' report on compliance with Authority Investment Guidelines, the Investment Guidelines which had no amendments in 2012, and Investment Income record and cost of investment associated services.

5) Single Audit Report

Mr. Bushek reported to the Board that a requirement of the Federal Grants the Authority has drawn funds from in 2011 and 2012 is to perform an OMB Circular A-133 Single Audit. The Authority has contracted Toski & Co. to prepare the Single Audit for 2012.

Acting Chairman asked for a motion to approve the Annual Report Per Section 2800 Public Authorities Law, the 2012 Annual Investment Report as well as the Single Audit Report. On a motion of Commissioner Higgins, seconded by Commissioner Ramaglia,

all resolutions were adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-036

Resolution Date: March 21, 2013

WHEREAS, Public Authorities Law § 2800 requires that an annual report be prepared and submitted to the Board for approval each year and annual PARIS reporting requires the Mission Statement and Lobbying Contact Policy reported; now therefore

BE IT RESOLVED, the New York State Bridge Authority does hereby approve the Annual Report pursuant to Public Authorities Law § 2800 for the fiscal year 2012, the Mission Statement and Lobbying Contact Policy; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-037

Resolution Date: March 21, 2013

WHEREAS, Investment Guidelines adopted by this Authority pursuant to the Public Authorities Law § 2925 require that an annual report be prepared and submitted to the Board for approval each year; now therefore

BE IT RESOLVED, the New York State Bridge Authority does hereby approve the Annual Investment Report for the fiscal year 2012; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-038

Resolution Date: March 21, 2013

WHEREAS, Federal requirements under the Homeland Security Grant require recipients to prepare an OMB Circular A-133 Single Audit to be submitted to the Federal Government; now therefore

BE IT RESOLVED, the New York State Bridge Authority does hereby accept Single Audit Report required by OMB Circular A-133 for the fiscal year 2012; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

1) Transcom Annual Assessment

Mr. Ferguson apprised the Board that the Authority was in receipt of the 2013 invoice for the Authority's membership contribution to Transcom. Mr. Ferguson reported that on January 29, 2013, the TRANSCOM budget was approved, except for the authorization of the Technical Additions category (additional time was given for several agencies to peruse the items in that category). This invoice reflects the Authority's share of TRANSCOM's Operations Support, minus the Tech Additions. The Authority's annual contribution remains at 1.96% of TRANSCOM's overall operations cost. Mr. Ferguson added that it is expected that a recommendation on the Tech Additions category will be brought back to the Board, at which time a subsequent invoice will be issued for the additional approved amount, if any. Mr. Ferguson recommended an approval for payment of the invoice for the Authority's membership contribution. Acting Chairman called for a motion. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins, the following resolution was adopted unanimously:

NEW YORK STATE BRIDGE AUTHORITY

BOARD RESOLUTION

Resolution No.: 013-039

Resolution Date: March 21, 2013

WHEREAS, the Authority has reviewed the memorandum regarding the annual membership dues for TRANSCOM; now therefore

BE IT RESOLVED that the Board approves payment for 2013 TRANSCOM Annual Dues (less Tech Additions) in the amount of \$47,818.00; and

BE IT FURTHER RESOLVED that the Executive Director, or his designee, is hereby authorized and directed to take the necessary measures to implement this resolution.

IN WITNESS WHEREOF, this resolution has been duly adopted this 21st day of March 2013.

Joseph Ruggiero, Secretary

REPORTS TO BOARD:

1) Monthly Activity Report of the Executive Director

The Monthly Activity Report was submitted by Executive Director Joseph Ruggiero noting no expenditures in the month of February.

2) February 2013 Traffic and Revenue Analysis

Mr. Ruggiero reviewed the Traffic Classification Comparison report for the year to date February 2013 numbers. This report shows the traffic count on each bridge, as well as the associated revenue. The Authority met its goal of a 40% increase in revenue per month in every month where year over year numbers compared toll adjusted numbers to non-toll adjusted numbers. February 2013 represents the first time that the Authority can look at a year over year comparison of toll adjusted numbers. Mr. Ruggiero noted that this is the sixth month in a row with a decline in traffic. No action was required by the Board.

3) February 2013 E-ZPass Sales Analysis

Mr. Ruggiero also reviewed the E-ZPass Sales report for the year to date February 2013 numbers. The report shows the total sales of E-ZPass tags at New York State Bridge Authority locations. There have been 1,409 E-ZPass Tags sold in full service lanes since mid-December 2012. Headquarters sold 63 tags from November 2012 through mid-January 2013. February 2013 was the first month where the E-ZPass tags were being sold at all five of the Authority's bridges. The Authority began selling E-ZPass tags in the full service lanes at the Kingston-Rhinecliff Bridge on December 17, 2012. The remaining bridges started selling tags in the full service lanes in January 2013 (Rip Van

Winkle Bridge on January 11th, Bear Mountain Bridge on January 14th, Mid-Hudson Bridge on January 21st, and Newburgh-Beacon Bridge on January 28th). The Authority sold 907 tags in February 2013, compared to only 100 sold in February of 2012. Year-to-date 2013 tag sales are 1,363, compared to 205 sold year to date 2012, an increase of 665%. The amount of tags sold year to date 2013 is already more than the total tags sold in any year since 2006. Year-to-date sales are more than the previous two years combined (1,363 in 2013 vs. 1,250 in 2011 and 2012). A brief discussion followed relative to the direct impact on the Authorities revenue. Commissioner Dressel also commented on what great value these reports are to the Commissioners and what a convenience it is to the patrons to sell the E-ZPass tags in the toll booths. No action was required by the Board.

4) Presentation of Awards

Earlier in the day Mr. Ruggiero recognized the accomplishments of six NYSBA staff: Mike Savosky, Chris Gimbel, Frank Mazzella, Roy Hogan, Jeremy Prati and Alex Taylor regarding the success of the Project Nightwatch. Peter Bielawski was also given the Transformation MVP Award. No action was required by the Board.

5) Project Sunlight

Mr. Russo reviewed Project Sunlight requirements with the Board. It is a component of the Public Integrity Reform Act of 2011 that provides the public with access via an online

database to information about individuals and entities that are interacting with government decision-makers. Mr. Russo explained that based on the guidelines, as members of the Board of Commissioners of the NYS Bridge Authority, a decision-making body, they are designated as covered individuals with respect to Project Sunlight. Accordingly they are individually subject to the reporting requirements covered in the policy. Mr. Russo provided a copy of the Project Sunlight Policy, an FAQ document that will answer many questions, as well as the Authority's Compliance Plan. He also included a copy of the reporting form that is used to collect the required contact data. Project Sunlight applies to reportable contacts as defined effective January 3, 2013. Mr. Russo asked that the Board review calendars, diaries, etc. to date to determine if you had any reportable contacts. The Authority plans to schedule a separate training opportunity to answer any question they may have. A brief discussion followed relative to clarification on state guidelines as to who would be considered "reportable". No action was required by the Board.

This concluded the regular meeting. Acting Chairman Gerentine asked for a motion to adjourn the meeting. On a motion of Commissioner Ramaglia, seconded by Commissioner Higgins and approved unanimously, the regular meeting adjourned at 4:25 P.M.

The next regular meeting is scheduled for April 18, 2013 at 3:00 P.M. at Headquarters.